A TENSOR 2-PRODUCT OF 2-REPRESENTATIONS OF \mathfrak{sl}_2^+

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ABSTRACT. We construct an explicit abelian model for the operation of tensor 2-product of 2-representations of \mathfrak{sl}_2^+ , specifically the product of a simple 2-representation $\mathcal{L}(1)$ with a given abelian 2-representation \mathcal{V} taken from the 2-category of algebras. We study the case $\mathcal{V} = \mathcal{L}(1)$ in detail, and we show that the 2-product in this case recovers the expected structure. Our construction partially verifies a conjecture of Rouquier from 2008.

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1. INTRODUCTION

1.1. **Background and motivation.** The operation of tensor product is ubiquitous in representation theory and its applications. It is a primary means of generating new representations from old ones. In classical Lie theory this operation arises from the Hopf structure of the enveloping algebra.

In [CF94], Crane and Frenkel outlined a program to build topological invariants using a higher representation theory. The program was conceived as a way to formulate invariants algebraically in 4d that upgrade known invariants in 3d such as the TQFT of Witten-Reshetikhin-Turaev [Wit89, RT91]. The idea was to implement categorical versions of classical algebraic structures. Crane and Frenkel proposed a concept of 'Hopf category' to upgrade the Hopf structure of quantum groups that was central to the WRT invariant.

A fully developed Hopf categorical representation theory will have good definitions of categorical algebra, categorical representation, and categorical Hopf structure. The notion of 2-representation was provided with a good definition for \mathfrak{sl}_2 in work of Chuang-Rouquier [CR08], and the graded case descending to quantized structures in work of Lauda [Lau10]. The definitions were generalized to all Kac-Moody algebras in [Rou08a] and [KL09, KL11].

A tensor 2-product operation would give the higher analog of an aspect of Hopf structure, or at least of the expression of Hopf structure on the category of representations of the algebra. A 2-product is defined in an \mathcal{A}_{∞} setting by Rouquier [Rou], but no explicit formulas are known for the product action in that setting, and the setting itself brings significant technical complications. Rouquier has conjectured [Rou08b] that a subcategory affording an abelian 2-representation should exist. The main construction of this paper partially verifies his conjecture by identifying an abelian 2-product when one factor is $\mathcal{L}(1)$ and the other factor \mathcal{V} is taken from the 2-category of algebras. In addition, our construction takes a step toward defining a practicable 2-product by providing explicit formulas for the 2-representation component structures.

In early work of Bernstein-Frenkel-Khovanov [BFK99], the authors consider a category whose Grothendieck group is the tensor product of fundamental representations. Their methods were extended by Stroppel [Str05] and others (cf. [FKS07, MS09, SS15, Sus07]) to find a category with Grothendieck group isomorphic to any given tensor product of finite dimensional simples in type A. Graphical methods were developed by Webster [Web17, Web16] to produce categories for tensor products of simples for general Kac-Moody algebras. We expect these categories to be equivalent to tensor 2-products of simple 2-representations.

The Crane-Frenkel program for building TQFTs gives perhaps the most compelling motivation to find a categorical product. In the case of \mathfrak{sl}_2 , a 2-product will play a central role in a prospective 4d TQFT that extends Khovanov homology. Glimmers of this 4d theory have been seen by physicists [GPV17], and some aspects are defined rigorously in some cases [GM21]. Along these lines, recent work of Manion-Rouquier [MR20] on the case of the super Lie algebra $\mathfrak{gl}(1|1)^+$ shows that a 2-product can be used to describe Bordered Heegaard-Floer theory for surfaces [LOT18]. 1.2. **Result.** Let \mathcal{U}^+ denote the monoidal category associated to the positive half of the enveloping algebra of \mathfrak{sl}_2 . Let us be given a field k and the data of a k-algebra A and a triple (E, x, τ) as follows. Let E be an (A, A)-bimodule, let $x \in \operatorname{End}(E)$ and $\tau \in \operatorname{End}(E^2)$ be bimodule endomorphisms, and suppose that x and τ generate an action of the nil affine Hecke algebra, that is, that they satisfy the following relations:

$$\tau^{2} = 0,$$

$$\tau E \circ E\tau \circ \tau E = E\tau \circ \tau E \circ E\tau,$$

$$\tau \circ Ex = xE \circ \tau + 1, Ex \circ \tau = \tau \circ xE + 1$$

(Here we write xE for the endomorphism $x \otimes \mathrm{Id}_E$ in $\mathrm{End}(E^2)$, and similarly for the others.) This data determines a 2-representation \mathcal{V} of \mathcal{U}^+ .

We can give such data for a simple 2-representation $\mathcal{L}(1)$ of \mathcal{U}^+ that categorifies the fundamental representation L(1) of \mathfrak{sl}_2 . The k-algebra is $k[y]_{+1} \times k[y]_{-1}$ (decomposed into weight algebras), and the triple is (k[y], y, 0). Here $y \in k[y]_{-1}$ acts on k[y] on the right by multiplication, and $y \in k[y]_{+1}$ acts by zero. These roles are reversed for the left action. The endomorphism x acts by multiplication by y.

Let $P_n = k[x_1, \ldots, x_n]$ be the polynomial algebra. Then P_n acts on E^n with $x_i \in P_n$ acting by the endomorphism $E^{n-i}xE^{i-1}$.

This paper is organized around a proof of the following theorem.

Theorem (Main result). Suppose x and τ satisfy the nil affine Hecke relations, so (E, x, τ) gives a 2-representation of \mathcal{U}^+ for the algebra A, denoted \mathcal{V} , and suppose the bimodule E has the following additional properties:

- _AE is finitely generated and projective,
- E^n is free as a P_n -module.

Then we define explicitly:

- a k-algebra C (Def. 3.32),
- a bimodule \tilde{E} (Def. 3.38),
- endomorphisms \tilde{x} and $\tilde{\tau}$ (Def. 4.4),

such that \tilde{x} and $\tilde{\tau}$ satisfy the nil affine Hecke relations, so $(\tilde{E}, \tilde{x}, \tilde{\tau})$ gives the data of a 2-representation of \mathcal{U}^+ for C that we denote $\mathcal{L}(1) \otimes \mathcal{V}$.

We have two reasons to interpret the new 2-representation as an abelian model for the 2-product $\mathcal{L}(1) \otimes \mathcal{V}$: it is derived from an approach to categorifying the Hopf coproduct formula, and in a class of cases it recovers the expected result. In this document we study the case $\mathcal{L}(1) \otimes \mathcal{L}(1)$ in detail. In forthcoming work with Laurent Vera we show that $\mathcal{L}(1) \otimes \mathcal{L}(n)$ recovers the expected structure for every $n \in \mathbb{Z}^{>0}$.

In another paper [McM23] we consider the extension of the construction given in this paper to actions of the full 2-category \mathcal{U} associated to the enveloping algebra of \mathfrak{sl}_2 , and not only its positive half. When the functor $E \otimes_A$ has a right adjoint given by tensor product with a bimodule F, and the pair of them satisfies some additional relations that categorify the commutator identities, the action is said to give a 2-representation of \mathcal{U} . We show that if the original bimodule E has such an F giving an action of the full \mathcal{U} on \mathcal{V} , then there is also a bimodule \tilde{F} , given as the right-dual of \tilde{E} , which together with \tilde{E} provides an action of the full \mathcal{U} on $\mathcal{L}(1) \otimes \mathcal{V}$.

In a third paper (forthcoming) we consider several questions about the 2product construction that are motivated by the search for a 4d TQFT. For example, one would like to iterate the construction:

$$\mathcal{L}(1)^{\bigotimes n} = \mathcal{L}(1) \bigotimes (\mathcal{L}(1) \bigotimes (\mathcal{L}(1) \bigotimes \dots)).$$

To define this product, we need to establish that our \tilde{E}^n construction is free as a $k[\tilde{x}_1, \ldots, \tilde{x}_n]$ -module. We also want a product in the reverse order, $\mathcal{V} \otimes \mathcal{L}(1)$, to determine an iterated product with arbitrary parenthesization. Questions about associativity make sense at that point. We would like to establish functoriality in the argument \mathcal{V} . A further step would be to produce a braid group action on iterates $\mathcal{L}(1)^{\otimes n}$, as well as 'cup and cap' morphisms.

1.3. Technique. Let us be given \mathcal{V} as described above. Write E_y for the (A[y], A[y])-bimodule E[y]/(x-y)E[y]. We begin with a 'naive' algebra B formed from the underlying data of $\mathcal{L}(1)$ and \mathcal{V} :

$$B = \begin{pmatrix} A[y] & E_y \\ 0 & A[y] \end{pmatrix}.$$

There is a natural candidate E' for the diagonal action of \mathcal{U}^+ , but it is a complex of (B, B)-bimodules, not a bimodule. It is given as a complex in degrees 0 and 1 by

$$E' = \begin{pmatrix} E[y] & E[y]E_y \\ 0 & E[y] \end{pmatrix} \xrightarrow{d} \begin{pmatrix} E_y & E_yE_y \\ A[y] & E_y \end{pmatrix}.$$

(The differential and action data are described in Definition 3.2.) There is also a natural candidate for $x \in \text{End}(E')$ arising from the data of $\mathcal{L}(1)$ and \mathcal{V} , but that x is not equivariant over the action of generators in E_y in B.

Let $e_1 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \in B$. Our technique in this paper is to define a new algebra

$$C = \operatorname{End}_{K^b(B)}(Be_1 \oplus E'e_1)$$

that is derived-equivalent to B. The bimodule complex E' may be transported through the equivalence, and the result is quasi-isomorphic to a complex \tilde{E} of (C, C)-bimodules that is concentrated in degree 0 and projective on the left. We consider \tilde{E} to be a (C, C)-bimodule, and we construct explicit bimodule endomorphisms $\tilde{x} \in \operatorname{End}(\tilde{E})$ (compatible with x) and $\tilde{\tau} \in \operatorname{End}(\tilde{E}^2)$ that satisfy the nil affine Hecke relations. The data $(C, \tilde{E}, \tilde{x}, \tilde{\tau})$ determines a 2-representation that we call $\mathcal{L}(1) \otimes \mathcal{V}$.

In order to define \tilde{x} and $\tilde{\tau}$ and verify the relations, we study the tensor powers \tilde{E}^n . These powers can be parametrized by explicit models containing $\operatorname{Hom}_{K^b(B)}(E'e_1, E'^ne_1)$. We give presentations of these modules by generators and relations for n = 1, 2, 3, 4. 1.4. Explanation. Suppose ${}_{H}M$ and ${}_{H}N$ are two representations of a Hopf k-algebra H with coproduct $\Delta : H \to H^2$ and antipode $S : H \to H$. There is a large outer product $M \otimes_k N$ with two commuting actions of H on the two factors, and a third, diagonal, action given by first applying Δ . There is a smaller product $M \otimes_H N$ using S to view M as a right H-module. The smaller product is related to the larger one as follows: $M \otimes_H N$ is the largest quotient of $M \otimes_k N$ on which $\Delta(H)$ acts by 0. This can be seen using the formulas $\Delta(h) = h \otimes 1 + 1 \otimes h$ and S(h) = -h for enveloping algebras of Lie algebras, with which the condition $\Delta(h).(m \otimes n) = 0$ may be written $m.h \otimes n = m \otimes h.n$.

Now let \mathcal{V}_i be an abelian category of A_i -modules for i = 1, 2, where \mathcal{V}_i is a 2-representation of \mathcal{U}^+ with data (E_i, x^i, τ^i) . We can easily define a large outer product category $\mathcal{V}_1 \boxtimes_k \mathcal{V}_2$ that has two commuting actions of \mathcal{U}^+ . We seek a kind of diagonal action of \mathcal{U}^+ on $\mathcal{V}_1 \boxtimes_k \mathcal{V}_2$. One can also describe a smaller product without diagonal \mathcal{U}^+ -symmetry. Objects should be generated by pairs of modules $M \in \mathcal{V}_1, N \in \mathcal{V}_2$ together with functorial isomorphisms $E_1(M) \otimes_k N \xrightarrow{\sim} M \otimes_k E_2(N)$ that are equivariant over the actions of x^i on E_i and τ^i on E_i^2 . These isomorphisms categorify the conditions $\Delta(e).(m \otimes n) = 0$.

At this point we make three conceptual moves. First, we expand the larger product category by including with each pair $M \in \mathcal{V}_1$, $N \in \mathcal{V}_2$ a morphism $\alpha_M^N : E_1(M) \otimes_k N \to M \otimes_k E_2(N)$, functorial in M and N, that is x- and τ -equivariant. So we define objects of $\mathcal{V}_1 \otimes \mathcal{V}_2$ to be triples of the form $(M, N; \alpha_M^N)$. Second, we consider morphisms α_M^N as two-term chain complexes, in particular mapping cones, and move to a derived context. Third, for the new diagonal action of E on $(M, N; \alpha_M^N)$ we take the cone complex $C = Cone(\alpha_M^N)$ itself. In the derived category, this complex is zero precisely when α_M^N is an isomorphism, which is the correspondence we sought.

To complete the idea, it is necessary to supply natural x- and τ -equivariant morphisms $\alpha_{(E_1 \otimes \operatorname{Id})C}^{(\operatorname{Id} \otimes E_2)C}$ in order to make C an object in $\mathcal{V}_1 \otimes \mathcal{V}_2$, and to supply endomorphisms x and τ of $Cone(\alpha_M^N)$ and $Cone(Cone(\alpha_M^N))$ satisfying Hecketype relations in order to make a 2-representation of \mathcal{U} using $Cone(\alpha_M^N)$ for the image of E. Here one encounters further technical difficulties. In [Rou], Rouquier is expected to give a general definition of tensor 2-product by working in an \mathcal{A}_{∞} setting that encodes the technical difficulties as higher homotopies. For example, the failure of equivariance of the natural $x \in \operatorname{End}(E')$ mentioned in §1.3 can be expressed as a homotopy.

In our setting for $\mathcal{L}(1) \otimes \mathcal{V}$, we have $\mathcal{L}(1)$ given by the data $(A^{\circ}, k[y], y, 0)$ with $A^{\circ} = k[y]_{+1} \times k[y]_{-1}$, and \mathcal{V} given by the data (A, E, x, τ) . One can define a tensor algebra B':

$$B' = T_{A^{\circ} \otimes_k A} ({}^{\vee} k[y] \otimes_k E).$$

There is a canonical isomorphism ${}^{\vee}k[y] \otimes_k E \xrightarrow{\sim} E[y]$, and another $A^{\circ} \otimes_k A \xrightarrow{\sim} A[y] \times A[y]$. The data of a B'-module is equivalent to the data of a triple (M, N, α_M^N) where $M, N \in A[y]$ -mod and $\alpha_M^N : E[y] \otimes_{A[y]} M \to N$. Since $\tau^1 = 0$ in this case, α is automatically τ -equivariant. We can enforce x-equivariance of α by taking a quotient by I = Im(x - y), where x - y is understood in $\text{End}_{A[y]}(E[y])$. Then the algebra B'/I is isomorphic to the algebra B in §1.3.

1.5. Outline summary. The paper is organized as follows:

- In §2 we describe some conventions and background theory. We are working in the setting of monoidal categories of the form $\operatorname{Bim}_k(A)$ for a k-algebra A: objects are (A, A)-bimodules, morphisms are bimodule maps. The data of a 2-representation of \mathcal{U}^+ consists of an algebra A, a bimodule ${}_AE_A$, and endomorphisms $x \in \operatorname{End}(E)$ and $\tau \in \operatorname{End}(E^2)$ satisfying nil affine Hecke relations.
- In §3 we begin with a naive product algebra B and complex of bimodules ${}_{B}E'_{B}$. We construct a derived-equivalent algebra C. We define a (C, C)-bimodule \tilde{E} and study a new class of bimodules we call G_n that arise inside the tensor powers of \tilde{E} . This study has a technical and computational flavor.
- In §4 we construct the new nil affine Hecke action, with generators \tilde{x} and $\tilde{\tau}$, on powers of the new bimodule \tilde{E} . More computations are required to establish the properties we need. They rely on results about G_n proved in §3.
- In §5 we give explicit details for the most basic example of our construction: $\mathcal{L}(1) \otimes \mathcal{L}(1)$. This product agrees with a well-known categorification of $L(1) \otimes L(1)$, where L(1) is the fundamental representation of \mathfrak{sl}_2 .

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2. Background structures

Let k be a field.

2.1. Nil affine Hecke algebras. The nil affine Hecke algebra ${}^{0}H_{n}$ is the *k*-algebra with generators $x_{1}, \ldots, x_{n}, \tau_{1}, \ldots, \tau_{n-1}$ and relations:

$$\begin{aligned} x_i x_j &= x_j x_i, \tau_i^2 = 0, \\ \tau_i \tau_{i+1} \tau_i &= \tau_{i+1} \tau_i \tau_{i+1}, \\ \tau_i \tau_j &= \tau_j \tau_i \text{ if } |i-j| > 1, \\ \tau_i x_j &= x_j \tau_i \text{ if } j-i \notin \{0,1\}, \\ \tau_i x_i &= x_{i+1} \tau_i + 1, x_i \tau_i = \tau_i x_{i+1} + 1. \end{aligned}$$

Define $s_i = \tau_i(x_i - x_{i+1}) - 1$. Observe that $s_i^2 = 1$ and $s_i \circ \tau_i = \tau_i$.

2.2. $\mathcal{U}^+(\mathfrak{sl}_2)$ and its 2-representations.

2.2.1. Monoidal category \mathcal{U}^+ .

Definition 2.1. Let $\mathcal{U}^+(\mathfrak{sl}_2)$ (hereafter \mathcal{U}^+) be the strict monoidal k-linear category generated by an object E and maps $x : E \to E$ and $\tau : E^2 \to E^2$ subject to the relations:

(2.1)
$$\tau^2 = 0,$$

(2.2)
$$\tau E \circ E\tau \circ \tau E = E\tau \circ \tau E \circ E\tau,$$

(2.3) $\tau \circ Ex = xE \circ \tau + 1, \ Ex \circ \tau = \tau \circ xE + 1.$

We write $s = \tau \circ (Ex - xE) - 1$. Observe that $s^2 = 1$ and $s \circ \tau = \tau$.

One easily checks that non-trivial Hom spaces of \mathcal{U}^+ are Hecke algebras:

Proposition 2.2. The objects of \mathcal{U}^+ are the E^n for $n \in \mathbb{Z}^{\geq 0}$, and

$$\operatorname{Hom}(E^n, E^m) \cong \begin{cases} {}^{0}H_n & n = m \\ 0 & n \neq m \end{cases}$$

with the isomorphism from ${}^{0}H_{n}$ given by $x_{i} \mapsto E^{n-i}xE^{i-1}$, $\tau_{i} \mapsto E^{n-i-1}\tau E^{i-1}$. Using the obvious morphism ${}^{0}H_{n} \otimes {}^{0}H_{m} \to {}^{0}H_{n+m}$, the diagram commutes:



2.2.2. 2-representations of \mathcal{U}^+ .

Definition 2.3. A 2-representation of \mathcal{U}^+ on a category \mathcal{V} is a strict monoidal functor $\mathcal{U}^+ \to \operatorname{End}(\mathcal{V})$. The data of such a functor consists of an endofunctor E of \mathcal{V} and natural transformations $x \in \operatorname{End}(E), \tau \in \operatorname{End}(E^2)$ satisfying (2.1)– (2.3). A morphism of 2-representations $(\mathcal{V}, E, x, \tau) \to (\mathcal{V}', E', x', \tau')$ consists of a functor $\Phi : \mathcal{V} \to \mathcal{V}'$ and an isomorphism of functors $\varphi : \Phi E \xrightarrow{\sim} E'\Phi$ such that:

$$\varphi \circ \Phi x = x' \Phi \circ \varphi : \Phi E \to E' \Phi,$$
$$E' \varphi \circ \varphi E \circ \Phi \tau = \tau' \Phi \circ E' \varphi \circ \varphi E : \Phi E^2 \to E'^2 \Phi.$$

Note that $\operatorname{End}(\mathcal{V})$ is the full sub-2-category of the 2-category of categories Cat generated by the object \mathcal{V} . One can define \mathcal{U}^+ as a 2-category with a single object, so that the data of 2-representation is the data of 2-functor from \mathcal{U}^+ to Cat. This justifies our '2' prefixes.

In this paper we study monoidal functors from \mathcal{U}^+ to monoidal categories of the form $\operatorname{Bim}_k(A)$ which are defined for k-algebras A as follows: the objects of $\operatorname{Bim}_k(A)$ are (A, A)-bimodules, and the morphisms of $\operatorname{Bim}_k(A)$ are bimodule maps. The monoidal structure on $\operatorname{Bim}_k(A)$ is given by tensor product of bimodules over A. Note that there is a 2-category Alg_k with k-algebras, bimodules, and bimodule maps as the objects, 1-morphisms, and 2-morphisms. Then $\mathsf{Bim}_k(A)$ is the full sub-2-category of Alg_k generated by the object A.

Proposition 2.4. The data of a 2-representation $\mathcal{U}^+ \to \text{Bim}_k(A)$ for a kalgebra A consists of a bimodule ${}_AE_A$ and bimodule maps $x \in \text{End}(E), \tau \in$ $\text{End}(E^2)$ that satisfy (strictly) the relations of \mathcal{U}^+ .

We will use ' x_i ' and ' τ_i ' to denote the generators in any 0H_n (where $i \leq n$ for x_i and i < n for τ_i are assumed). Given a 2-representation for a k-algebra A with bimodule E, these symbols are also used to denote the corresponding elements in each End(E^n).

2.2.3. The 2-representation $\mathcal{L}(1)$. A simple 2-representation of \mathcal{U}^+ is given for the algebra $A = A_{+1} \times A_{-1}$, $A_i = k[y]$, by the bimodule E = k[y], where $y \in A_{-1}$ acts on the left by 0 and on the right by multiplication by y, and $y \in A_{+1}$ acts on the right by 0 and the left by y. The Hecke actions are generated by $x \in \text{End}(E)$ acting by multiplication by y, and $\tau \in \text{End}(E^2)$ satisfies $\tau = 0$ because $E^2 = 0$.

2.3. Further conventions. Assume we are given data (A, E, x, τ) determining a 2-representation, and fix these through §4. Assume that $_{A}E$ is f.g. projective and that E^{n} is free as a P_{n} -module.

Consider the endomorphism x - y of the (A[y], A[y])-bimodule E[y]. Its image (x-y)E[y] is a sub-bimodule of E[y]. Write E_y for the quotient E[y]/(x-y)E[y]. (Alternatively: E_y is E extended to an (A[y], A[y])-bimodule by specifying that y acts on both sides by x.) The projection

$$\tau: E[y] \to E_y$$
$$ey^n \mapsto x^n(e)$$

1

is a surjection of bimodules.

We simplify notation for tensor products by adopting a convention that concatenation indicates the tensor product over an algebra that is clear from the context. Sometimes it will be unclear whether a tensor product is meant over A or over A[y], so we further stipulate that if the expression for a module contains 'y', it will be understood as an A[y]-module, and if the expression lacks 'y', it will be understood as an A-module. Concatenation will indicate tensor product over A[y] if both are A[y]-modules, otherwise it will indicate tensor product over A.

We will tacitly use canonical isomorphisms such as

$$M[y] \otimes_{A[y]} N[y] \xrightarrow{\sim} M[y] \otimes_A N \xrightarrow{\sim} (MN)[y]$$

for M a right A-module and N a left A-module. For example, EE_y denotes $E \otimes_A E_y$ according to our convention, but this is canonically isomorphic to $E[y] \otimes_{A[y]} E_y$, and the latter may be written $E[y]E_y$. So we may write either EE_y or $E[y]E_y$ with equivalent meanings.

Extend x to $\operatorname{End}(E[y])$ by $x : ey^n \mapsto x(e)y^n$ and τ to $\operatorname{End}(E^2[y])$ by $\tau : eey^n \mapsto \tau(ee)y^n$. The map s defined above in terms of x and τ extends in the same manner to a map in $\operatorname{End}(E^2[y])$. Note that we denote an arbitrary

element of E[y] by the single letter 'e'. Similarly an arbitrary element of $E^2[y]$ is denoted by the doubled symbol 'ee', which may well not be a simple tensor of the form $e \otimes e$. Later we will use 'eee' or 'eee_i' as suggestive notation for elements of $E^3[y]$, and so on.

Define $\delta = \tau \circ (Ex - y) \in \text{End}(E^2[y])$. We also consider the extensions of x_i and τ_i to $E^n[y]$, and then s_i and δ_i defined by their same formulas but replacing x with x_i and τ with τ_i . Some important identities are quickly verified:

Lemma 2.5. We have

- $s^2 = 1$, so s is an isomorphism
- $\delta^2 = \delta$, so δ is an idempotent,

and we also have $s_i^2 = 1$ and $\delta_i^2 = \delta_i$.

We adopt a flexible notation $y_i = x_i - y$ until §5. Here y_i indicates $(E^j x E^{i-1} - y)$ for some j, and context will determine the value of j. Note that $\delta_i = \tau_i y_i$.

One may check that $s \circ x_2 = x_1 \circ s$ and $s \circ x_1 = x_2 \circ s$. It follows that s exchanges y_2 and y_1 and descends to a map:

$$s: E_y \otimes_{A[y]} E[y] \to E[y] \otimes_{A[y]} E_y.$$

So we have $s : E^2 \to E^2$ a map of (A, A)-bimodules, and this induces $s : E^2[y] \to E^2[y]$ as well as $s : E_y E \to EE_y$, maps of (A[y], A[y])-bimodules. Context will determine the domain and codomain for the symbol s.

Lemma 2.6. We also have:

• $\pi_1 \circ \delta = s \circ \pi_2 : E^2[y] \to EE_y.$

We define projections $\pi_i : E^n[y] \to E^{n-i}E_yE^{i-1} = E^n[y]/(y_i)$ by $\pi_i = E^{n-i}\pi E^{i-1}$. The same names may be used for maps between products with E_y factors, for example $\pi_2 : EE_y \to E_yE_y$.

Given a module ${}_{A}M$, its algebra of endomorphisms $\operatorname{End}_{A}({}_{A}M)$ will use the traditional order of composition for multiplication: $(f \circ g)(m) = f(g(m))$. Typically, but not always, ' \circ ' is written to emphasize this convention. A consequence is that for a ring A, the algebra $\operatorname{End}_{A}({}_{A}A)$ is identified with A^{op} .

Given two complexes M, N of A-modules, we will write $\mathscr{H}om_A(M, N)$ for the complex generated by homogeneous A-module homomorphisms from Mto N. In degree n it is given by homogeneous maps of degree n, and the differential is $d(f) = d \circ f - (-1)^{|f|} f \circ d$ for f a homogeneous map of degree |f|. The notation $Z^i M$ refers to the degree i part of the kernel of d.

Given an algebra R, we write $D^b(R)$ for the derived category of bounded complexes of left R-modules. A strictly perfect complex of left R-modules is a bounded complex of finitely generated projective R-modules. The category per $R \subset D^b(R)$ is the full subcategory of complexes quasi-isomorphic to strictly perfect complexes. Given $M \in D^b(R)$, we write $\langle M \rangle_{\Delta}$ for the smallest triangulated strictly full subcategory of $D^b(R)$ closed under direct summands and containing M.

Lemma 2.7. We have $\langle R \rangle_{\Delta} = per R$.

2.4. Generalized matrix algebras and tensor product. Suppose we are given k-algebras A and D, bimodules $_{A}B_{D}$ and $_{D}C_{A}$, and bimodule maps

$${}_{A}B \otimes_{D} C_{A} \xrightarrow{\gamma_{1}} A$$
$${}_{D}C \otimes_{A} B_{D} \xrightarrow{\gamma_{2}} D.$$

With this data we can define a new k-algebra R:

$$R = \begin{pmatrix} A & B \\ C & D \end{pmatrix},$$

where multiplication of matrices is defined with the customary formulas using the above bimodule structures and maps.

A right *R*-module consists of the data of M_1 a right *A*-module, M_2 a right *D*module, a map $M_1 \otimes_A B \xrightarrow{\alpha} M_2$ of right *D*-modules, and a map $M_2 \otimes_D C \xrightarrow{\beta} M_1$ of right A-modules, such that the latter two maps are compatible with γ_1 and γ_2 . Here compatibility with γ_1 , for example, means that the following compositions agree:

$$M_1 \otimes_A (B \otimes_D C) \xrightarrow{\operatorname{Id}_{M_1} \otimes \gamma_1} M_1 \otimes_A A \xrightarrow{\sim} M_1$$
$$(M_1 \otimes_A B) \otimes_D C \xrightarrow{\alpha \otimes \operatorname{Id}_C} M_2 \otimes_D C \xrightarrow{\beta} M_1.$$

The data of a left R-module may be given in a similar form. Let

$$M = \begin{pmatrix} M_1 & M_2 \end{pmatrix}$$

be a right R-module, and

$$N = \begin{pmatrix} N_1 \\ N_2 \end{pmatrix}$$

a left R-module. Their tensor product $M \otimes_R N$ may be formed as follows. Consider the pair of maps given by the R action data:

$$M_1 \otimes_A B \otimes_D N_2 \xrightarrow{I_B} M_1 \otimes_A N_1 \oplus M_2 \otimes_D N_2$$
$$M_2 \otimes_D C \otimes_A N_1 \xrightarrow{I_C} M_1 \otimes_A N_1 \oplus M_2 \otimes_D N_2$$

by $I_B(m \otimes b \otimes n) = m \otimes b \cdot n - m \cdot b \otimes n$ and likewise for I_C . Then we have an isomorphism:

$$(M_1 \otimes_A N_1 \oplus M_2 \otimes_D N_2) / (I_B + I_C) \xrightarrow{\sim} M \otimes_R N.$$

Now let $F \in \operatorname{End}_R(N)$ be an endomorphism of left *R*-modules. It determines an endomorphism $\operatorname{Id}_M \otimes_R F \in \operatorname{End}_k(M \otimes_R N)$ which will be denoted MF. We can study this on components as follows. There are induced endomorphisms $F_1 \in \operatorname{End}_A(N_1)$ and $F_2 \in \operatorname{End}_D(N_2)$ given by restriction of F. These determine endomorphisms $M_1F_1 \in \operatorname{End}_k(M_1 \otimes_A N_1)$ and $M_2F_2 \in \operatorname{End}_k(M_2 \otimes_D N_2)$, and these in turn provide together an endomorphism $\begin{pmatrix} M_1F_1 & 0\\ 0 & M_2F_2 \end{pmatrix}$ of $M_1 \otimes_A$ $N_1 \oplus M_2 \otimes_D N_2$. The property of full *R*-linearity of *F* implies that this morphism preserves the submodules I_B and I_C , and descends to the quotient $M \otimes_R N$ where it agrees with MF.

Lemma 2.8. In the notations used above, an element of $\operatorname{End}_k(M \otimes_R N)$ of the form MF for $F \in \operatorname{End}_R(N)$ is uniquely determined by the induced maps M_1F_1 and M_2F_2 .

3. PRODUCT CATEGORY

Given a 2-representation \mathcal{V} for A with \mathcal{U}^+ -action data (E, x, τ) , we seek a 2-representation for C with data $(\tilde{E}, \tilde{x}, \tilde{\tau})$ to serve as the tensor 2-product $\mathcal{L}(1) \otimes \mathcal{V}$. In this section we describe our proposal for the algebra C and data $(\tilde{E}, \tilde{x}, \tilde{\tau})$, and in the next section we study this data and verify that the nil affine Hecke relations hold for \tilde{x} and $\tilde{\tau}$.

3.1. Naive product category.

3.1.1. Naive product algebra B.

Definition 3.1. Let B be the k-algebra:

$$B = \begin{pmatrix} A[y] & E_y \\ 0 & A[y] \end{pmatrix}.$$

Here the algebra structure of B is given by matrix multiplication, with the (A[y], A[y])-bimodule structure of E_y contributing for products with generators in B_{12} .

A left *B*-module consists of a pair $\binom{M_1}{M_2}$ of left A[y]-modules, together with a morphism $\alpha : E_y \otimes_{A[y]} M_2 \to M_1$ of left A[y]-modules. A right *B*-module is the data of a pair $(N_1 N_2)$ of right A[y]-modules, together with a morphism $\beta : N_1 \otimes_{A[y]} E_y \to N_2$ of right A[y]-modules. It follows that a (B, B)-bimodule can be written as a matrix of (A[y], A[y])-bimodules with accompanying maps α and β giving left and right actions of E_y . Such a matrix with α, β determines a (B, B)-bimodule only if the actions commute. Usually this commutativity is obvious and we do not bother to check it.

A complex of left *B*-modules is the same data as a pair of complexes of A[y]modules together with a morphism α of complexes; note that the differential of $E_y \otimes M_2$ for a complex (M_2, d) is just $E_y \otimes d$. Similarly for right *B*-module complexes.

3.1.2. Endofunctor E' of B-cplx.

Definition 3.2. Let E' be the following bounded complex of (B, B)-bimodules concentrated in degrees 0 and 1:

$$E' = \begin{pmatrix} E[y] & E[y]E_y \\ 0 & E[y] \end{pmatrix} \xrightarrow{d} \begin{pmatrix} E_y & E_yE_y \\ A[y] & E_y \end{pmatrix}$$

Here the left action data ' α ' for B generators in E_y is given on the degree 0 part as a matrix using the decompositions $0 \oplus E_y E[y]$ and $E[y] \oplus E[y]E_y$ by $\begin{pmatrix} 0 & 0 \\ 0 & s \end{pmatrix}$, and on the degree 1 part by $\begin{pmatrix} \mathrm{Id}_{E_y} & 0 \\ 0 & \mathrm{Id}_{E_yE_y} \end{pmatrix}$. The right action on the degree 0 part is given by $\begin{pmatrix} \mathrm{Id}_{E[y]E_y} & 0 \\ 0 & 0 \end{pmatrix}$ and on degree 1 it is given by $\begin{pmatrix} \mathrm{Id}_{E_yE_y} & 0 \\ 0 & \mathrm{Id}_{E_y} \end{pmatrix}$. The differential d is given componentwise by $\begin{pmatrix} \pi & \pi \otimes \mathrm{Id}_{E_y} \\ 0 & \pi \end{pmatrix}$. Tensoring by E' on the left gives an endofunctor ${}_{B}E' \otimes_{B} -$ of the category of complexes of *B*-modules. It is convenient to have a formula for the action of this endofunctor on an arbitrary complex of modules:

Lemma 3.3. Let $M = \left(\begin{pmatrix} M_1 \\ M_2 \end{pmatrix}, \alpha \right)$ be a complex of *B*-modules. The action of the functor $E' \otimes_B -$ on *M* is given by:

$$\left(\begin{pmatrix} M_1\\M_2 \end{pmatrix}, \alpha \right) \stackrel{E'}{\longmapsto} \left(\begin{pmatrix} E[y]M_1 \bigoplus_{\alpha \circ \pi M_2}^{\pi M_1} E_y M_1[-1] \\ E[y]M_2 \bigoplus_{\alpha \circ \pi M_2}^{\alpha \circ \pi M_2} M_1[-1] \end{pmatrix}, \begin{pmatrix} E[y]\alpha \circ sM_2 & 0 \\ 0 & Id_{E_y M_1} \end{pmatrix} \right).$$

Here the top and bottom rows express cocones of the maps πM_1 and $\alpha \circ \pi M_2$.

Remark 3.4. It may help motivation to consider the effect of E' at the level of the Grothendieck group when M_1 and M_2 are just modules, not complexes. The following discussion is not intended to be precise or complete.

Suppose M'_1 and M'_2 are projective left A-modules, and R_1 and R_2 are projective left k[y]-modules. Consider the projective left A[y]-modules $M_1 = R_1 \otimes_k M'_1$ and $M_2 = R_2 \otimes_k M'_2$. These are elements of the outer product of categories (k[y]-proj) $\boxtimes_k (A$ -proj). Suppose $\alpha : E_y M_2 \to M_1$ is given. Apply E' to $(\binom{M_1}{M_2}, \alpha)$. The upper row is quasi-isomorphic to:

$$\ker \left(E[y]M_1 \xrightarrow{\pi M_1} E_y M_1 \right) \xrightarrow{\sim} (y_1 E[y])M_1 \xrightarrow{\sim} E[y]M_1 \xrightarrow{\sim} R_1 \otimes_k (E \otimes_A M_1'),$$

where the first isomorphism follows by flatness of M_1 . Letting e denote the action of E on the Grothendieck group, we have $(1 \otimes e)([R_1] \otimes_k [M'_1])$ for the upper row in the Grothendieck group. The lower row is the cocone of α , which contributes $[E[y]M_2] + [M_1]$ in the Grothendieck group. Now recall that the raising functor for $\mathcal{L}(1)$ is just k[y]. So:

$$M_1 \xrightarrow{\sim} (k[y] \otimes 1) (R_1 \otimes_k M'_1), \quad [M_1] = (e \otimes 1) ([R_1] \otimes_k [M'_1]),$$

and we should interpret the copy of M_1 coming from the lower row in this way, since the factor of k[y] in the $A[y] \cong k[y] \otimes_k A$ of the lower left corner of Bis the higher weight copy. We also have $[E[y]M_2] = (e \otimes 1)([R_2] \otimes_k [M'_2])$. Finally, it is a fact that $(e \otimes 1)([R_2] \otimes_k [M'_2]) = 0$ because $\mathcal{L}(1)$ has only two weight categories. It follows from these calculations that the action of e' = [E'] on the Grothendieck group of the derived category has the form:

$$e'[\left(\begin{pmatrix} M_1\\M_2 \end{pmatrix}, \alpha\right)] := \left[E'\left(\begin{pmatrix} M_1\\M_2 \end{pmatrix}, \alpha\right)\right]$$
$$= (e \otimes 1 + 1 \otimes e)\left(\left[M'_1\right] \otimes_k \left[R_1\right] + \left[M'_2\right] \otimes_k \left[R_2\right]\right).$$

This agrees with the Hopf coproduct formula $\Delta(e) = e \otimes 1 + 1 \otimes e$.

Proof of the lemma. We first check that the matrix specifying the new E_y action gives a morphism of complexes. The diagonal coefficients of the matrix give morphisms of the separate summands, and these commute with the differentials on the separate summands. It remains to see that $\pi M_1 \circ E[y] \alpha \circ sM_2 = \mathrm{Id}_{E_y} M_1 \circ E_y(\alpha \circ \pi M_2)$, and these agree because $\pi E_y \circ s = E_y \pi$.

Now we compute the tensor product following the recipe of §2.4. We have:

$$E' \otimes_B M = \begin{pmatrix} \left(E[y] M_1 \oplus E[y] E_y M_2 \right) / I_1 \stackrel{\pi M_1}{\bigoplus} \left(\left(E_y M_1 \oplus E_y E_y M_2 \right) / I_1' \right) [-1] \\ \left(0 \oplus E[y] M_2 \right) / I_2 \stackrel{\alpha \circ \pi M_2}{\bigoplus} \left(\left(A[y] M_1 \oplus E_y M_2 \right) / I_2' \right) [-1] \end{pmatrix}.$$

Here the submodule I_1 is generated by all terms of the form $e \otimes \alpha(e', m_2) - e \otimes e' \otimes m_2$ for $e \in E[y], e' \in E_y, m_2 \in M_2$. So every element of the quotient has a canonical representative in $E[y]M_1$, and the quotient is isomorphic to $E[y]M_1$. With analogous reasoning we see that the quotient by I'_1 is isomorphic to E_yM_1 , that by I_2 is isomorphic to $E[y]M_2$, and that by I'_2 is isomorphic to M_1 . The differential may be written before taking quotients as dM_1 on the top and dM_2 on the bottom. The images of dM_2 in E_yM_2 represent elements in M_1 by way of α , and this determines the differential component $\alpha \circ \pi M_2$ between summands of the bottom row.

Now we calculate the new E_y action in order to view this as a complex of B-modules. Using the description of the left B-action on E', one sees that the action on the left summand is by sM_2 , which is represented in $E[y]M_1$ through α , so the action written on the quotients as described above is given by $E[y]\alpha \circ sM_2$. The action is obvious on the right summand.

3.1.3. Category per B and generator X.

Definition 3.5. Let X be the following complex of B-modules:

$$X = X_1 \oplus X_2$$

$$X_1 = \begin{pmatrix} A[y] \\ 0 \end{pmatrix}$$

$$X_2 = E'(X_1) = \begin{pmatrix} E[y] & \xrightarrow{\pi} & E_y \\ 0 & \longrightarrow & A[y] \end{pmatrix}$$

where X_1 lies in degree 0 and X_2 in degrees 0 and 1. The E_y action on X_2 is given by $E_y \otimes_{A[y]} A[y] \xrightarrow{\sim} E_y, e \otimes 1 \mapsto e$.

One can see that $X_1 = Be_1$ and $X_2 = E'e_1$, with $e_i \in B$ the standard matrix idempotent. Observe that there is a canonical right A[y] action on Be_i and on X_i given componentwise.

Proposition 3.6. The complex X is strictly perfect and generates per B.

Proof. We can write X in terms of B:

$$X_1 = Be_1$$
$$X_2 = Be_1 \otimes_A E \to Be_2,$$

where the differential is by π on the upper row. This is a complex of finitely generated projective *B*-modules because $_AE$ is finitely generated and projective. So *X* is strictly perfect. To see that *X* generates per *B*, first note that $Be_1 = X_1 \in \langle X \rangle_{\Delta}$. Now consider $Be_1 \otimes_A E$ as a complex in degree 0. There is a map of complexes $X_2 \to Be_1 \otimes_A E$ given by the identity in degree 0 and by 0 in degree 1. Then $Be_2[-1]$ (a complex in degree 1) is quasi-isomorphic to the cocone of this map. So $Be_2 \in \langle X \rangle_{\Delta}$.

Recall our notation $\pi_i = E^{n-i}\pi E^{i-1} : E^n[y] \to E^{n-i}E_y E^{i-1}.$

Lemma 3.7. The kernel of $\varphi : E^n[y] \xrightarrow{(\pi_i)_i} \bigoplus_{i=1}^n E^{n-i}E_yE^{i-1}$ is $(y_1 \dots y_n)E^n[y]$.

Proof. We have assumed that E^n is free as a P_n -module. It follows that $E^n[y]$ is free as a $P_n[y]$ -module. Let $e \in \ker \varphi$. So $\pi_i(e) = 0$ and therefore $e \in y_i E^n[y]$ for each $i \in \{1, \ldots, n\}$. Let B be a basis of $E^n[y]$ over $P_n[y]$. Write

$$e = y_i \sum_{j=1}^{\ell} f_j^i(x_1, \dots, x_n, y) \cdot b_j$$

for $b_j \in B$ distinct and $f_j^i \in P_n[y]$. It follows that $y_i f_j^i = y_k f_j^k$ in $P_n[y]$ for each $(i,k) \in \{1,\ldots,n\}^{\times 2}$ and $j \in \{1,\ldots,\ell\}$. Then $e = y_1 \ldots y_n e^\circ$ for some $e^\circ \in E^n[y]$ because $P_n[y]$ is a unique factorization domain and each y_i is irreducible.

Lemma 3.8. The complex $E'X_2$ is concentrated in degrees 0, 1, and 2:

$$E'X_2 = \left(\begin{pmatrix} E^2[y] \xrightarrow{(\pi_2,\pi_1)} E_y E \oplus EE_y \xrightarrow{(-\pi_1,\pi_2)} E_y E_y \\ 0 \longrightarrow E[y] \oplus E[y] \xrightarrow{(-\pi,\pi)} E_y \end{pmatrix}, \alpha \right),$$

where

$$\begin{aligned} \alpha_0 &= 0\\ \alpha_1 &= \begin{pmatrix} Id_{E_yE} & 0\\ 0 & s \end{pmatrix}\\ \alpha_2 &= Id_{E_yE_y}. \end{aligned}$$

Proof. Computation. The minus signs arise from shifting differentials. \Box

Proposition 3.9. The complex E'X is quasi-isomorphic to a finite direct sum of summands of X.

We define two complexes of *B*-modules before proving the proposition.

Definition 3.10. Let $R, X'_2 \in B$ -cplx be given by

$$R = \begin{pmatrix} E^2[y] \xrightarrow{(\pi_2 \circ \tau)} E_y E \oplus E_y E \\ 0 \to E[y] \oplus E[y] \end{pmatrix},$$
$$X'_2 = \begin{pmatrix} \tau y_1 E^2[y] \xrightarrow{\pi_2} E_y E \\ 0 \longrightarrow E[y] \end{pmatrix},$$

both lying in degrees 0 and 1, and the E_y action on R is by the canonical map

$$E_y \otimes (E[y] \oplus E[y]) \to E_y E \oplus E_y E_y$$

and on X'_2 by the canonical map $E_y \otimes E[y] \to E_y E$.

Lemma 3.11. We have that X'_2 is a finite direct sum of summands of X_2 , and hence of X.

Proof. Observe first that $X_2 \otimes_A E$ is a finite direct sum of summands of X because ${}_AE$ is finitely generated projective. (Here we use the componentwise right A-action on X_2 .) Using the formulas

$$\pi_2 \circ \delta = \pi_2,$$

$$\pi_2 \circ (1 - \delta) = 0,$$

and $\delta \cdot (1 - \delta) = 0$, one has the decomposition of $X_2 \otimes_A E$:

$$X_{2} \otimes_{A} E = \begin{pmatrix} E^{2}[y] & \xrightarrow{\pi_{2}} & E_{y}E \\ 0 & \longrightarrow & E[y] \end{pmatrix}$$
$$= \begin{pmatrix} \delta \cdot E^{2}[y] & \xrightarrow{\pi_{2}} & E_{y}E \\ 0 & \longrightarrow & E[y] \end{pmatrix} \oplus \begin{pmatrix} (1-\delta) \cdot E^{2}[y] \\ 0 \end{pmatrix}.$$

The matrix algebra structure of the nil-affine Hecke algebra gives the following isomorphism of left A[y]-modules:

$$E^{2}[y] \xrightarrow[(\stackrel{\sim}{\tau y_{1}})]{} \tau y_{1}E^{2}[y] \oplus \tau y_{1}E^{2}[y].$$

Lemma 3.12. There is an isomorphism $R \xrightarrow{\sim} X'_2 \oplus X'_2$ in *B*-cplx given by the above isomorphism on the degree 0 term of the upper row, and the identity on all other terms. So *R* is a finite direct sum of summands of X_2 , and hence of *X*. In particular, *R* is strictly perfect.

Lemma 3.13. There is a quasi-isomorphism $R \xrightarrow{q.i.} E'X_2$ determined by $Id_{E^2[y]}$ on the degree 0 term of the upper row and $\begin{pmatrix} 1 & 0 \\ 1 & -y_1 \end{pmatrix}$ on the degree 1 term of the lower row.

Proof. We first check that the map is a morphism in *B*-cplx. The matrix of the morphism on the degree 1 part of the upper row, as determined by equivariance over generators of *B* in E_y , is given by $\binom{\operatorname{Id}}{s} \binom{0}{s \circ (x_2 - x_1)}$. Observe that:

$$\begin{aligned} \mathrm{Id} \circ \pi_{2} + 0 \circ \pi_{2} \circ \tau &= \pi_{2}; \\ s \circ \pi_{2} + s \circ (x_{2} - x_{1}) \circ \pi_{2} \circ \tau \\ &= \pi_{1} \circ s + (x_{1} - x_{2}) \circ s \circ \pi_{2} \circ \tau \\ &= \pi_{1} \circ s + \pi_{1} \circ (x_{1} - x_{2}) \circ s \circ \tau \\ &= \pi_{1} \circ \left((x_{2} - x_{1}) \circ \tau + \mathrm{Id} \right. \\ &+ (x_{1} - x_{2}) \circ \left((x_{2} - x_{1}) \circ \tau + \mathrm{Id} \right) \circ \tau \right) = \pi_{1} \end{aligned}$$

This shows compatibility with the differential from degree 0 in the upper row. The other compatibility checks are easier.

Now we show that the map is a quasi-isomorphism. The lower row of $E'X_2$ has H^1 given by:

$$\{(e_1, e_2) \in E[y]^{\oplus 2} \mid e_1 - e_2 = y_1 e \text{ for some } e \in E[y]\}.$$

This is also the image of the (injective) map from R in degree 1 of the lower row. The upper row of $E'X_2$ has $H^0 = \ker(d^0) = y_1y_2E^2[y]$ by Lemma 3.7. The cohomology of the upper row of R is computed as follows. We have an isomorphism:

$$E^2[y] \xrightarrow{\sim} \tau y_1 E^2[y] \oplus -y_2 \tau E^2[y].$$

Notice that $\pi_2 \circ \tau$ vanishes on the first summand, and π_2 vanishes on the second. Then one may compute:

$$\ker\left(\tau y_1 E^2[y] \xrightarrow{\pi_2} E_y E\right) = \tau y_1 y_2 E^2[y] \subset y_1 y_2 E^2[y]$$

and

$$\ker\left(-y_2\tau E^2[y] \xrightarrow{\tau} \tau y_1 E^2[y] \xrightarrow{\pi_2} E_y E\right) = -y_2\tau y_1 y_2 E^2[y] \subset y_1 y_2 E^2[y].$$

So

$$\ker\left(\left(\begin{smallmatrix}\pi_2\\\pi_2\circ\tau\end{smallmatrix}\right)\right) \subset y_1y_2E^2[y].$$

The reverse inclusion is obvious, so H^0 of the upper row is $y_1y_2E^2[y]$. This shows that $\mathrm{Id}_{E^2[y]}$ induces an isomorphism on homology in degree 0 of the upper row. Using the decomposition and inspecting the maps above, we also see that d^0 on the upper row of R is surjective. Finally we consider H^1 of the upper row of $E'X_2$ and show it is zero. (Clearly the H^2 is zero.) Let $(ee_1, ee_2) \in E_yE \oplus EE_y$ be in ker (d^1) , i.e. such that $\pi_1(ee_1) = \pi_2(ee_2)$. Then $ee_1 = ee_2 + (Ex - xE)ee^\circ$ for some $ee^\circ \in E^2$. (Note that $E_yE_y \cong E^2/(Ex - xE)$ where y acts by Ex or xE.) Then consider $ee_2 + (Ex - y)ee^\circ \in E^2[y]$. The differential d^0 sends this to ee_1 in E_yE and to ee_2 in EE_y .

Proof of Proposition 3.9. The proposition follows from the preceding three lemmas. $\hfill \Box$

Corollary 3.14. Tensoring with ${}_{B}E'_{B}$ gives an endofunctor $E' \otimes_{B} - of$ per B.

Proof. We know that $X \in \text{per } B$, and it follows from Prop. 3.9 that $E' \otimes_B X \in \text{per } B$. The corollary follows because X generates per B.

Remark 3.15. We do not know that $E' \otimes_B -$ on $K^b(B)$ is exact, so we do not know that it descends to an endofunctor defined on all of $D^b(B)$.

3.2. Bimodules G_n . The constructions of this paper make use of certain bimodules that we describe next.

Definition 3.16. Let G_n denote $\operatorname{Hom}_{K^b(B)}(X_2, E'^n X_1)$.

Every G_n has the structure of $(G_1^{op}, A[y])$ -bimodule by pre- and post-composition. Here we understand $A[y] \cong \operatorname{End}_{K^b(B)}(X_1)^{op}$ and use functoriality of E' for the action. Note that $G_1 = \operatorname{Hom}_{K^b(B)}(X_2, X_2)$ has an algebra structure, and the right regular action of G_1^{op} on G_1 extends the right A[y] action.

In this section we gather some facts regarding these bimodules and give concrete presentations in small cases that are easier to handle. Given $n \in$ $\{1, 2, 3, 4\}$, we define \bar{G}_n as an (A[y], A[y])-sub-bimodule of

$$E^{n-1}[y]^{\oplus n} \oplus \operatorname{Hom}_A(_AE, E^n)[y].$$

(By $E^0[y]$ we mean A[y].) We give isomorphisms $\overline{G}_n \xrightarrow{\sim} G_n$ for such n. These isomorphisms induce left G_1^{op} -actions on \overline{G}_n that extend the left A[y]-actions. In future sections we do not distinguish G_n from \overline{G}_n and write only the former.

Definition 3.17. Define the following (A[y], A[y])-sub-bimodule of $A^{op}[y] \oplus$ End_A(_AE)[y]:

$$\bar{G}_1 = \left\langle (\theta, \varphi) \in A^{\mathsf{op}}[y] \oplus \operatorname{End}_A({}_AE)[y] \middle| \\ \varphi = _.\theta + y_1\varphi_1 \\ \text{for some } \varphi_1 \in \operatorname{End}_A({}_AE)[y] \right\rangle.$$

This bimodule also has a k-algebra structure with componentwise multiplication (using the opposite multiplication on generators in A[y]).

Note that \bar{G}_1 contains a copy of $A^{op}[y]$, namely the subspace with $\varphi = _.\theta$. **Proposition 3.18.** There is an isomorphism of (A[y], A[y])-bimodules $\bar{G}_1 \xrightarrow{\sim} G_1$ determined by:

$$(\theta,\varphi)\mapsto \left(\begin{pmatrix} (e,0)\\ (0,1) \end{pmatrix} \mapsto \begin{pmatrix} (\varphi(e),0)\\ (0,\theta) \end{pmatrix} \right).$$

Here $(e, 0) \in E[y] \oplus E_y$ is an element of the upper row of X_2 , with e in degree 0 and 0 in degree 1. Analogously with the lower row. This isomorphism respects the k-algebra structure.

Proof. The condition $\varphi = -\theta + y_1\varphi_1$ in the definition of \overline{G}_1 is equivalent to the statement that the morphism given as the image of (θ, φ) defined in the proposition has zero differential.

Definition 3.19. Define the following (A[y], A[y])-sub-bimodule of $E[y]^{\oplus 2} \oplus$ Hom_A $(_{A}E, E^{2})[y]$:

$$\bar{G}_2 = \left\langle (e_1, e_2, \xi) \in E[y]^{\oplus 2} \oplus \operatorname{Hom}_A({}_AE, E^2)[y] \right|$$

$$e_1 - e_2 = y_1 e'$$

$$\xi = _ \otimes e_1 + y_2 \xi_1$$

$$= \delta(_ \otimes e_2) + y_1 \xi_2$$
for some $e' \in E[y]$ and $\xi_\ell \in \operatorname{Hom}_A({}_AE, E^2)[y] \right\rangle.$

Proposition 3.20. There is an isomorphism of (A[y], A[y])-bimodules $\overline{G}_2 \xrightarrow{\sim} G_2$ determined by:

$$(e_1, e_2, \xi) \mapsto \left(\begin{pmatrix} (e, 0) \\ (0, 1) \end{pmatrix} \mapsto \begin{pmatrix} (\xi(e), 0, 0) \\ (0, \begin{pmatrix} e_1 \\ e_2 \end{pmatrix}, 0) \end{pmatrix} \right)$$

Proof. Use the description of $E'X_2$ in Lemma 3.8. As in Prop. 3.18, the condition of the definition of \overline{G}_2 is equivalent to the statement that the image of (e_1, e_2, ξ) has zero differential.

In order to parametrize G_3 , we compute the components of $E'^2 X_2 = E'^3 X_1$ in degrees 0, 1, and 2:

$$\begin{pmatrix} E^{3}[y] \rightarrow E_{y}EE \oplus EE_{y}E \oplus EEE_{y} \rightarrow E_{y}E_{y}E \oplus E_{y}E_{y} \oplus EE_{y}E_{y} \rightarrow \cdots \\ 0 \rightarrow E^{2}[y] \oplus E^{2}[y] \oplus E^{2}[y] \rightarrow E_{y}E \oplus EE_{y} \oplus EE_{y} \rightarrow \cdots \end{pmatrix}.$$

The upper left differential map is (π_3, π_2, π_1) . We don't make use of the upper right. The bottom right differential map is given by the matrix:

$$\begin{pmatrix} -\pi_2 & \pi_2 & 0\\ -\pi_1 & 0 & \pi_1 \circ \delta\\ 0 & -\pi_1 & \pi_1 \end{pmatrix}.$$

Definition 3.21. Define the following (A[y], A[y])-sub-bimodule of $E^2[y]^{\oplus 3} \oplus$ Hom_A $(_AE, E^3)[y]$:

$$\bar{G}_{3} = \left\langle (ee_{1}, ee_{2}, ee_{3}, \chi) \in E^{2}[y]^{\oplus 3} \oplus \operatorname{Hom}_{A}(_{A}E, E^{3})[y] \right|$$

$$ee_{1} - ee_{2} = y_{2}ee'$$

$$ee_{3} - ee_{2} = y_{1}ee'''$$

$$\delta(ee_{3}) - ee_{1} = y_{1}ee''',$$

$$\chi = _{-} \otimes ee_{1} + y_{3}\chi_{1}$$

$$= \delta E(_{-} \otimes ee_{2}) + y_{2}\chi_{2}$$

$$= E\delta \circ \delta E(_{-} \otimes ee_{3}) + y_{1}\chi_{3}$$
for some $ee^{k} \in E^{2}[y]$ and $\chi_{\ell} \in \operatorname{Hom}_{A}(_{A}E, E^{3})[y] \right\rangle.$

Proposition 3.22. There is an isomorphism of (A[y], A[y])-bimodules $\overline{G}_3 \xrightarrow{\sim} G_3$ determined by:

$$(ee_1, ee_2, ee_3, \chi) \mapsto \left(\begin{pmatrix} (e, 0) \\ (0, 1) \end{pmatrix} \mapsto \begin{pmatrix} (\chi(e), 0, \dots) \\ (0, \begin{pmatrix} ee_1 \\ ee_2 \\ ee_3 \end{pmatrix}, \dots) \end{pmatrix} \right).$$

Proof. The condition of the definition of \overline{G}_3 is equivalent to the statement that the image of (ee_1, ee_2, ee_3, χ) has zero differential.

Definition 3.23. Define the following (A[y], A[y])-sub-bimodule of $E^3[y]^{\oplus 4} \oplus$ Hom_A(_AE, E⁴)[y]:

$$\begin{split} \bar{G}_4 &= \left\langle (eee_1, eee_2, eee_3, eee_4, \psi) \in E^3[y]^{\oplus 4} \oplus \operatorname{Hom}_A(_AE, E^4)[y] \right| \\ eee_3 - eee_4 &= y_1 eee^{(1)} \\ eee_2 - eee_3 &= y_2 eee^{(2)} \\ E\delta(eee_4) - eee_2 &= y_1 eee^{(3)} \\ eee_1 - eee_2 &= y_3 eee^{(4)} \\ eee_1 - \delta E(eee_3) &= y_2 eee^{(5)} \\ eee_1 - \delta E \circ E\delta(eee_1) &= y_1 eee^{(6)} \\ \psi &= \ldots \otimes eee_1 + y_4 \psi_1 \\ &= \delta E^2(\ldots \otimes eee_2) + y_3 \psi_2 \\ &= E\delta E \circ \delta E^2(\ldots \otimes eee_3) + y_2 \chi_3 \\ &= E^2 \delta \circ E\delta E \circ \delta E^2(\ldots \otimes eee_4) + y_1 \chi_4 \\ &\text{for some } eee^k \in E^3[y] \text{ and } \psi_\ell \in \operatorname{Hom}_A(_AE, E^4)[y] \right\rangle. \end{split}$$

Lemma 3.24. Under the conditions on eee_i in the definition, there is a unique $\overline{eee} \in E^3[y]$ such that:

$$eee^{(5)} - eee^{(2)} = y_3\overline{eee},$$

 $eee^{(4)} - \tau E(eee_3) = y_2\overline{eee}.$

Proof. Subtracting two equations from those conditions:

$$y_2(eee^{(5)} - eee^{(2)}) = eee_1 - eee_2 - y_3\tau E(eee_3) = y_3(eee^{(4)} - \tau E(eee_3))$$

By Lemma 3.7 we know there is some \overline{eee} satisfying the claim. It is unique because the y_i are injective.

Proposition 3.25. There is an isomorphism of (A[y], A[y])-bimodules $\overline{G}_4 \xrightarrow{\sim} G_4$ determined by:

$$(eee_1, eee_2, eee_3, eee_4, \psi) \mapsto \left(\begin{pmatrix} (e, 0) \\ (0, 1) \end{pmatrix} \mapsto \begin{pmatrix} (\psi(e), 0, \dots) \\ (ee_1 \\ eee_1 \\ eee_2 \\ eee_4 \\ eee_4 \end{pmatrix}, \dots \end{pmatrix} \right).$$

Proof. The reader may compute the first terms of E'^4X_1 and show that the condition of the definition of \overline{G}_4 is equivalent to the statement that the image of $(ee_1, ee_2, ee_3, ee_4, \psi)$ defined in the proposition has zero differential. There is some ambiguity in the order of summands in degree 1 of the lower row. The convention we have used is that the first summand arises from the latest application of E' which moves a term from degree 0 of the upper row to degree 1 of the lower (and increments the exponents on existing terms in the lower row).

It will be useful to describe alternative, equivalent, conditions defining \bar{G}_2 and \bar{G}_3 . It is sometimes easier to work with them.

Proposition 3.26. Given $(e_1, e_2, \xi) \in E[y]^{\oplus 2} \oplus \operatorname{Hom}_A(_AE, E^2)[y]$ with $e_1 - e_2 = y_1e'$, the following conditions are equivalent:

$$\xi = _ \otimes e_1 + y_2 \xi_1$$

= $\delta(_ \otimes e_2) + y_1 \xi_2$
for some $\xi_\ell \in \operatorname{Hom}_A(_A E, E^2)[y]$

and

$$\xi = _ \otimes e_1 + y_2 \xi_1$$

$$\xi_1 = \tau(_ \otimes e_2) + y_1 \xi'$$

for some $\xi' \in \operatorname{Hom}_A(_A E, E^2)[y]$.

When these conditions hold, the ξ_{ℓ} and ξ' are uniquely determined by the data (e_1, e_2, ξ) , and $\xi_2 = _ \otimes e' + y_2 \xi'$.

Proof. Suppose the first condition holds. Using $\delta = y_2 \tau + \text{Id}$ and $e_1 - e_2 = (x - y)e'$, we can rearrange the first equality:

from which

$$y_2\Big(\xi_1 - \tau(\underline{\ }\otimes e_2)\Big) = y_1\Big(\xi_2 - \underline{\ }\otimes e'\Big).$$

By Lemma 3.7, the image of $\xi_1 - \tau(\neg \otimes e_2)$ is in $y_1y_2E^2[y]$. We can then make the following definition:

$$\xi' = y_1^{-1}(\xi_1 - \tau(\underline{\ } \otimes e_2)).$$

The second condition and the final claim follow from this.

Starting now with the second condition, plugging the second equation into the first, we find:

$$\xi = \underline{\ }\otimes e_1 + y_2 \big(\tau(\underline{\ }\otimes e_2) + y_1 \xi' \big)$$

= $\delta(\underline{\ }\otimes e_2) + \underline{\ }\otimes (e_1 - e_2) + y_2 y_1 \xi'$
= $\delta(\underline{\ }\otimes e_2) + y_1 \big(\underline{\ }\otimes e' + y_2 \xi' \big).$

This is the second line of the first condition, and it establishes the final claim. The uniqueness claims are clear. $\hfill \Box$

Proposition 3.27. Given $(ee_1, ee_2, ee_3, \chi) \in E^2[y]^{\oplus 3} \oplus \operatorname{Hom}_A(_AE, E^3)[y]$ with

$$(3.1) ee_1 - ee_2 = y_2 ee'$$

(3.2)
$$ee_3 - ee_2 = y_1 ee''$$

(3.3)
$$\delta(ee_3) - ee_1 = y_1 ee''',$$

the following conditions are equivalent:

$$\chi = _ \otimes ee_1 + y_3\chi_1$$

= $\delta E(_ \otimes ee_2) + y_2\chi_2$
= $E\delta \circ \delta E(_ \otimes ee_3) + y_1\chi_3$
for some $\chi_\ell \in \operatorname{Hom}_A(_AE, E^3)[y]$

and

$$\chi = _ \otimes ee_1 + y_3\chi_1$$

$$\chi_1 = \tau E(_ \otimes ee_2) + y_2\chi'_1$$

$$\chi'_1 = E\tau \circ \tau E(_ \otimes ee_3) + y_1\chi''$$

for some $\chi'' \in \operatorname{Hom}_A(_AE, E^3)[y].$

When the conditions hold, the χ_{ℓ} and χ'' are uniquely determined by the data (ee_1, ee_2, ee_3, χ) , and there is a unique $\overline{ee} \in E^2[y]$ such that

$$\tau(ee_3) - ee' = y_1 \overline{ee}$$
$$ee''' - ee'' = y_2 \overline{ee}.$$

Define a map $\chi'_2 = - \mathbf{I} \otimes \overline{ee} + y_3 \chi''$. Then we also have

$$\chi_2 = E\tau \circ \delta E(\underline{\ }\otimes ee_3) + y_1\chi_2'$$

and

$$\chi_3 = -\delta E(-\otimes ee'') + y_2\chi_2'.$$

Assuming $\chi = _ \otimes ee_1 + y_3\chi_1$, the other two conditions together are equivalent to a single condition on χ_1 :

$$\chi_1 = -\tau E y_1(_\otimes ee'') + E\delta \circ \tau E(_\otimes ee_3) + y_2 y_1 \chi''$$

Proof. Suppose the first condition holds. Equating the first two formulas for χ in the first condition and using $\delta E = y_3 \tau E + \text{Id gives}$:

thus

$$y_3(\chi_1 - \tau E(\underline{\ }\otimes ee_2)) = y_2(\chi_2 - \underline{\ }\otimes ee').$$

By Lemma 3.7 again, the image of this function lies in $y_2y_3E^3[y]$, and since each y_i is injective, we can define a new function χ'_1 such that:

$$\chi_1 = \tau E(_\otimes ee_2) + y_2\chi'_1$$
$$\chi_2 = _\otimes ee' + y_3\chi'_1.$$

Equating now the second and third formulas, we have:

$$y_2 E\tau \circ \delta E(\underline{\ }\otimes ee_3) + \delta E(\underline{\ }\otimes ee_3) + y_1\chi_3 = \delta E(\underline{\ }\otimes ee_2) + y_2\chi_2$$

 \mathbf{SO}

$$y_2(\chi_2 - E\tau \circ \delta E(\underline{\ }\otimes ee_3)) = y_1(\chi_3 + \delta E(\underline{\ }\otimes ee'')),$$

so for some χ'_2 we can write:

$$\chi_2 = E\tau \circ \delta E(-\otimes ee_3) + y_1 \chi'_2$$

$$\chi_3 = -\delta E(-\otimes ee'') + y_2 \chi'_2.$$

We will need a fact derived from the relations (3.1)–(3.3) of the ee^k . Adding the first and third relations and subtracting the second yields

$$y_1(ee''' - ee'') = y_2(\tau(ee_3) - ee')$$

from which we see there must be a (unique) \overline{ee} with

$$\tau(ee_3) - ee' = y_1 \overline{ee}$$
$$ee''' - ee'' = y_2 \overline{ee}.$$

This gives the third claim of the proposition.

Equating now the two formulas we derived for χ_2 :

$$y_3 E\tau \circ \tau E(\underline{\ }\otimes ee_3) + E\tau(\underline{\ }\otimes ee_3) + y_1\chi'_2 = \underline{\ }\otimes ee' + y_3\chi'_1$$

 \mathbf{SO}

$$y_3(\chi'_1 - E\tau \circ \tau E(\underline{\ }\otimes ee_3)) = y_1(\chi'_2 + \underline{\ }\otimes \overline{ee}).$$

Therefore

$$\chi'_1 = E\tau \circ \tau E(_\otimes ee_3) + y_1\chi''$$
$$\chi'_2 = -_\otimes \overline{ee} + y_3\chi''$$

for some χ'' , as desired.

In the reverse direction, starting with the second condition, plugging the χ_1 and χ'_1 formulas into the first χ formula gives:

$$\chi = _ \otimes ee_1 + y_3 \Big(\tau E(_ \otimes ee_2) + y_2 \big(E\tau \circ \tau E(_ \otimes ee_3) + y_1 \chi'' \big) \Big),$$

 \mathbf{SO}

$$\chi - \delta E(_\otimes ee_2) = _\otimes (ee_1 - ee_2) + y_2 (E\tau \circ \tau E(_\otimes ee_3) + y_1\chi'') = y_2 (_\otimes ee' + E\tau \circ \tau E(_\otimes ee_3) + y_1\chi''),$$

as desired. Similarly:

$$\begin{split} \chi - E\delta \circ \delta E(_\otimes ee_3) &= \chi - y_3 y_2 E\tau \circ \tau E(_\otimes ee_3) \\ &- y_3 \tau E(_\otimes ee_3) - E\delta(_\otimes ee_3) \\ &= _\otimes ee_1 + y_3 \big(\tau E(_\otimes ee_2) + y_1 y_2 \chi''\big) \\ &- y_3 \tau E(_\otimes ee_3) - E\delta(_\otimes ee_3) \\ &= _\otimes \big(ee_1 - \delta(ee_3)\big) + y_1 \Big(-y_3 \tau E(_\otimes ee'') + y_2 y_3 \chi''\Big) \\ &= y_1 \Big(-_\otimes ee''' - y_3 \tau E(_\otimes ee'') + y_2 y_3 \chi''\Big). \end{split}$$

The final statement of the proposition is a rearrangement of the second and third equalities of the second condition. $\hfill \Box$

Remark 3.28. We will not need to use alternative conditions for G_n for $n \ge 4$.

3.3. **Product category** *C*-mod. Let $C = \operatorname{End}_{\operatorname{per} B}(X)^{\operatorname{op}}$. We 'change basis' from $Be_1 \oplus Be_2$ to $X_1 \oplus X_2$, i.e. from complexes of modules over *B* to complexes of modules over *C*. This is performed by $\mathscr{H}om_B(X, -)$:

$$\operatorname{per} B \xrightarrow{\sim} \operatorname{per} C,$$
$$\xrightarrow{\mathcal{H}om_B(X,-)} \operatorname{per} C,$$

which is a restricted Rickard (derived Morita) equivalence. It has an inverse given by $X \otimes_C -$. Under this equivalence, the action of $_BE' \otimes_B -$ on per Btranslates to $_C\tilde{E} \otimes_C -$ on per C, where \tilde{E} is a (C, C)-bimodule that is finitely generated and projective on the left. Our main theorem says that $\operatorname{Bim}_k(C)$ has the structure of 2-representation of \mathcal{U}^+ using \tilde{E} . In this section we describe Cand the derived equivalence in more detail.

3.3.1. New algebra C. Let $\mathscr{C} = \mathscr{E}nd_B(X_1 \oplus X_2)^{\mathsf{op}}$ be the dg-algebra of endomorphisms of X (with left-to-right composition).

Definition 3.29. Define two (A[y], A[y])-bimodules:

 $G'_1 = A[y] \oplus \operatorname{Hom}_{A[y]}(A[y]E[y], E[y])$

and

$$G_1'' = \operatorname{Hom}_{A[y]}(A[y]E[y], E_y).$$

The complex $\mathscr{E}nd_B(X_2)$ is given in degrees 0 and 1 by

$$G_1' \xrightarrow{d^0} G_1''$$

where

$$d^0((\theta(y),\varphi)) = \pi \circ \varphi - \pi(-).\theta(x).$$

The direct sum decomposition $X_1 \oplus X_2$ provides a matrix presentation for \mathscr{C} with $\mathscr{C}_{ij} = \mathscr{H}om_B(X_i, X_j)$.

Definition 3.30. Let F denote the (A, A)-bimodule

$$F = \operatorname{Hom}_A({}_AE, A).$$

Note the canonical isomorphism

$$\operatorname{Hom}_{A}(_{A}E, A)[y] \xrightarrow{\sim} \operatorname{Hom}_{A[y]}(_{A[y]}E[y], A[y])$$

that exists because ${}_{A}E$ is finitely generated. Since ${}_{A}E$ and ${}_{A[y]}E[y]$ are both finitely generated projective, we also have canonical isomorphisms of functors:

$$\operatorname{Hom}_{A}(_{A}E, -) \xrightarrow{\sim} \operatorname{Hom}_{A}(_{A}E, A) \otimes_{A} -$$
$$\operatorname{Hom}_{A[y]}(_{A[y]}E[y], -) \xrightarrow{\sim} \operatorname{Hom}_{A[y]}(_{A[y]}E[y], A[y]) \otimes_{A[y]} -.$$

Proposition 3.31. The algebra \mathscr{C} is isomorphic to a generalized matrix algebra of complexes concentrated in degrees 0 and 1:

$$\begin{pmatrix} A[y] & E[y] \xrightarrow{\pi} E_y \\ F[y] & G_1^{\text{op}} \xrightarrow{d^0} G_1^{\text{mop}} \end{pmatrix} \xrightarrow{\sim} \begin{pmatrix} \mathscr{C}_{11} & \mathscr{C}_{12} \\ \mathscr{C}_{21} & \mathscr{C}_{22} \end{pmatrix}.$$

The map is given on components by:

• for \mathscr{C}_{11} :

$$A[y] \ni a \mapsto \left(\begin{pmatrix} 1\\ 0 \end{pmatrix} \mapsto \begin{pmatrix} a\\ 0 \end{pmatrix} \right)$$

• for \mathscr{C}_{12} :

$$(E[y] \to E_y) \ni (e, e') \mapsto \left(\begin{pmatrix} 1\\ 0 \end{pmatrix} \mapsto \begin{pmatrix} (e, e')\\ 0 \end{pmatrix} \right)$$

• for \mathscr{C}_{21} :

$$F[y] \ni f \mapsto \left(\begin{pmatrix} (e,0) \\ 1 \end{pmatrix} \mapsto \begin{pmatrix} f(e) \\ 0 \end{pmatrix} \right)$$

• for \mathscr{C}_{22} :

$$(G_1^{\prime \mathsf{op}} \to G_1^{\prime\prime \mathsf{op}}) \ni ((\theta, \varphi'), \varphi'') \mapsto \left(\begin{pmatrix} (e, 0) \\ 1 \end{pmatrix} \mapsto \begin{pmatrix} (\varphi'(e), (\pi \circ \varphi'')(e)) \\ \theta \end{pmatrix} \right).$$

Proof. Computation.

Definition 3.32. Let C denote the k-algebra $\operatorname{End}_{K^b(B)}(X)^{\operatorname{op}}$.

Sometimes we consider C to be a dg-algebra concentrated in degree 0.

Lemma 3.33. The projection $Z^0(\mathscr{C}) \to H^0(\mathscr{C}) = C$ is an isomorphism. Its inverse gives an injection $C \to \mathscr{C}$ which is a quasi-isomorphism of dg-algebras.

Proof. The first claim follows because \mathscr{C} lies in degrees 0 and 1. For the second claim we just need that $H^1(\mathscr{C}) = 0$. It is clear that the map $\pi : E[y] \to E_y$ is surjective. We can see that d^0 is surjective as well: since ${}_{A[y]}E[y]$ is projective, $\operatorname{Hom}_{A[y]}({}_{A[y]}E[y], -)$ is exact, so

 $\operatorname{Hom}_{A[y]}(A[y]E[y],\pi): \operatorname{Hom}_{A[y]}(A[y]E[y],E[y]) \to \operatorname{Hom}_{A[y]}(A[y]E[y],E_y)$ is surjective. \Box

The injection of the lemma gives a right action of C on X.

Lemma 3.34. The algebra C is isomorphic to a generalized matrix algebra:

$$\begin{pmatrix} A[y] & y_1 E[y] \\ F[y] & G_1^{\mathsf{op}} \end{pmatrix} \xrightarrow{\sim} \begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix},$$

with component maps given by (restrictions of) those in Proposition 3.31.

Proof. We have $d^0((\theta, \varphi)) = 0$ exactly when $\varphi = _.\theta + y_1\varphi'$ for some $\varphi' \in \text{Hom}_{A[y]}(A[y]E[y], E[y])$, and it follows that the map to C_{22} is an isomorphism.

3.3.2. Derived equivalence. Since X is strictly perfect, the triangulated functor $\mathscr{H}om_B(X, -): K^b(B) \to K^b(C)$

descends to the derived categories and resolutions are not needed:

$$\mathscr{H}om_B(X,-): D^b(B) \to D^b(C).$$

Since X generates per B, it is perfect as a right \mathscr{C} -dg-module, and then also as a complex of C-modules because the inclusion $C \hookrightarrow \mathscr{C}$ is a quasi-isomorphism. It follows that the functor restricts to a functor

$$\mathscr{H}om_B(X,-): \text{per } B \to \text{per } C,$$

and this is essentially surjective because C is in the essential image. To show that the functor is fully faithful, it is enough to check endomorphisms of Xand its translates, since X generates per B. The induced map:

$$\operatorname{Hom}_{D^{b}(B)}(X, X[i]) \to \operatorname{Hom}_{D^{b}(C)}(\mathscr{E}nd_{B}(X), \mathscr{E}nd_{B}(X)[i])$$

is an isomorphism for all i: with i = 0 both sides are canonically isomorphic to C, and the map induces the identity on C; with $i \neq 0$ both sides are 0.

The endofunctor $E' \otimes_B -$ on per *B* induces an endofunctor on per *C* using this equivalence: first apply $X \otimes_C -$, then $E' \otimes_B -$, then $\mathscr{H}om_B(X, -)$. Since *X* is finitely generated and strictly perfect, this induced endofunctor is isomorphic to $\mathscr{H}om_B(X, E'X) \otimes_C -$.

Remark 3.35. In the above context a theorem of Rickard shows that $\mathscr{H}om_B(X, -)$: $D^b(B) \to D^b(C)$ is also an equivalence of categories. We do not know $E' \otimes_B -$ to be exact, however, so we use the restricted equivalence of perfect complexes, and the full version of Rickard's theorem is not needed.

Definition 3.36. In §3, let \mathscr{E} denote the (C, C)-bimodule complex $\mathscr{H}om_B(X, E'X)$.

Then we have the following:

Proposition 3.37. For each n, the morphism of (C, C) bimodule complexes

$$\overbrace{\mathscr{E} \otimes_C \cdots \otimes_C \mathscr{E}}^{n-times} \to \mathscr{H}om_B(X, E'^n X)$$

given by

$$f_1 \otimes \cdots \otimes f_n \mapsto E'^{n-1}(f_n) \circ E'^{n-2}(f_{n-1}) \circ \cdots \circ f_1$$

is a quasi-isomorphism. These maps give the vertical maps in diagrams of the following form, which commute:

Proof. All diagrams contained in the following diagram commute, up to canonical isomorphisms in per B and per C:

$$\begin{array}{c} \operatorname{per} B & \xrightarrow{\mathscr{H}om_B(X,-)} \operatorname{per} C \\ \xrightarrow{E' \otimes_B -} & & \downarrow^{\mathscr{E} \otimes_C -} \\ \operatorname{per} B & \xrightarrow{\mathscr{H}om_B(X,-)} \operatorname{per} C \\ \xrightarrow{E' \otimes_B -} & & \downarrow^{\mathscr{E} \otimes_C -} \\ \operatorname{per} B & \xrightarrow{\mathscr{H}om_B(X,-)} \\ \operatorname{per} C \end{array} \xrightarrow{\mathcal{H}om_B(X,-)} \operatorname{per} C.$$

This gives the first statement of the proposition. The diagrams commute by functoriality of E'.

3.4. New bimodule \tilde{E} .

3.4.1. Definition of \tilde{E} . Now we define the lead actor of this paper.

Definition 3.38. Define a (C, C)-bimodule:

$$\tilde{E} = \operatorname{Hom}_{K^b(B)}(X, E'X),$$

with left C action given by precomposition with $\varphi \in C$, and right C action given by post-composition with $E'(\varphi)$ for $\varphi \in C$.

Lemma 3.39. For each n, the complex $\mathscr{H}om_B(X, E'^nX)$ of (C, C)-bimodules is concentrated in nonnegative degree.

Proof. The lower row of $E'^n X$ has components in degrees at least 1, and the upper row has components in degrees at least 0. This is shown by a simple inductive argument using the formulas for X and E' in §3.1.2. It follows that there are no nonzero morphisms in $\mathscr{H}om_B(X, E'^n X)$ of negative degree. \Box

Proposition 3.40. The complex $\mathscr{E} = \mathscr{H}om_B(X, E'X)$ of (C, C)-bimodules has cohomology concentrated in degree 0.

Proof. We consider separately the matrix components $\mathscr{H}om_B(X_i, E'X_j)$:

- $\mathscr{H}om_B(X_1, E'X_1)$: since $X_1 = Be_1$ this is isomorphic to $e_1E'X_1$ which is $E[y] \xrightarrow{\pi} E_y$, and π is surjective.
- $\mathscr{H}om_B(X_1, E'X_2)$: this is isomorphic to $e_1E'^2X_1$, which is

$$E^{2}[y] \xrightarrow{\begin{pmatrix} \pi_{2} \\ \pi_{1} \end{pmatrix}} E_{y}E \oplus EE_{y} \xrightarrow{(-\pi_{1} \ \pi_{2})} E_{y}E_{y}.$$

The second map is clearly surjective. Its kernel consists of pairs $(ee_1, ee_2) \in E^2$ such that $ee_1 - ee_2 = (Ex - xE)ee^\circ$ for some $ee^\circ \in E^2$. Such a pair is the image of $ee_2 + (Ex - y)ee^\circ$ in $E^2[y]$.

- $\mathscr{H}om_B(X_2, E'X_1)$: this is isomorphic to \mathscr{C}_{22} , and we saw that d^0 is surjective.
- $\mathscr{H}om_B(X_2, E'X_2)$: this is isomorphic to $G'_2 \xrightarrow{d^0} G''_2 \xrightarrow{d^1} G'''_2$, where

$$G'_{2} = E[y]^{\oplus 2} \oplus \operatorname{Hom}_{A[y]}(A[y]E[y], E^{2}[y])$$

$$G''_{2} = E_{y} \oplus \operatorname{Hom}_{A[y]}(A[y]E[y], E_{y}E \oplus EE_{y})$$

$$G'''_{2} = \operatorname{Hom}_{A[y]}(A[y]E[y], E_{y}E_{y}),$$

with

$$d^{0}: (e_{1}, e_{2}, \xi) \mapsto (\pi(e_{2} - e_{1}), (\pi_{2} \circ \xi; \pi_{1} \circ \xi))$$
$$d^{1}: (e, (\xi'; \xi'')) \mapsto -\pi_{1} \circ \xi' + \pi_{2} \circ \xi''.$$

It is easy to see that $H^1 = 0$ and $H^2 = 0$ by applying the exact functor $\operatorname{Hom}_{A[u]}(A[u]E[y], -)$ to the sequence considered in the second bullet.

Corollary 3.41. The surjection

$$Z^0\mathscr{H}om_B(X, E'X) \to H^0\mathscr{H}om_B(X, E'X) = \tilde{E}$$

is an isomorphism. Its inverse gives an injection

 $\tilde{E} \hookrightarrow \mathscr{E}$

which is a quasi-isomorphism of complexes of (C, C)-bimodules.

Remark 3.42. Whereas E' is a complex of bimodules, \tilde{E} is just a bimodule. This observation is the starting point for our construction. The basis $X_1 \oplus X_2$ is designed to be more compatible with the \mathcal{U}^+ action in this sense.

Lemma 3.43. As a left C-module, \tilde{E} is finitely generated and projective.

Proof. In Prop. 3.9 we saw that E'X is quasi-isomorphic to a finite direct sum of summands of X, so $_{C}\tilde{E}$ is a finite direct sum of summands of C. \Box

Lemma 3.44. The map $\tilde{E}^n \to \mathscr{H}om_B(X, E'^nX)$ of complexes of (C, C)bimodules given by

$$f_1 \otimes \cdots \otimes f_n \mapsto E'^{n-1}(f_n) \circ E'^{n-2}(f_{n-1}) \circ \cdots \circ f_1$$

is a quasi-isomorphism.

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Proof. Use a copy of the morphism

 $\tilde{E} \stackrel{q.i.}{\hookrightarrow} \mathscr{E}$

from Corollary 3.41 onto each factor of the product on the left in Proposition 3.37, and the fact that \tilde{E} is finitely generated and projective on the left. \Box

Lemma 3.45. The maps of Lemma 3.44 induce isomorphisms of (C, C)-bimodules

$$E^n \xrightarrow{\sim} \operatorname{Hom}_{K^b(B)}(X, E'^n X)$$

making the following diagrams commute:

Proof. By Lemma 3.44, the cohomology of $\mathscr{H}om_B(X, E'^nX)$ is concentrated in degree 0. By Lemma 3.39,

$$Z^{0}\mathscr{H}om_{B}(X, E'^{n}X) = H^{0}\mathscr{H}om_{B}(X, E'^{n}X).$$

So the degree 0 part of the map of Lemma 3.44 is an isomorphism from \tilde{E}^n to $Z^0 \mathscr{H}om_B(X, E'^n X)$, which is $\operatorname{Hom}_{K^b(B)}(X, E'^n X)$. The diagrams commute because the morphisms are restrictions of the morphisms of Proposition 3.37.

Definition 3.46. We let \tilde{E}_{ij}^n denote $\operatorname{Hom}_{K^b(B)}(X_i, E'^n X_j)$.

Defined in this way, \tilde{E}_{ij}^n lies in $\operatorname{Hom}_{K^b(B)}(X, E'^n X)$, not in \tilde{E}^n , but we consider it also in the latter through the isomorphism of Lemma 3.45.

3.4.2. Some low powers of \tilde{E} . The bimodule \tilde{E} can be presented as a matrix with ij-component \tilde{E}_{ij} given by $\operatorname{Hom}_{K^b(B)}(X_i, E'X_j)$. This component is an $(\operatorname{End}(X_i)^{\operatorname{op}}, \operatorname{End}(X_j)^{\operatorname{op}})$ -bimodule. Recall that $\operatorname{End}(X_1)^{\operatorname{op}} \cong A[y]$ and $\operatorname{End}(X_2)^{\operatorname{op}} \cong G_1^{\operatorname{op}}$.

Lemma 3.47. We have

$$(y_1 \dots y_n) E^n[y] \xrightarrow{\sim} \operatorname{Hom}_{K^b(B)}(X_1, E'^n X_1),$$

where $y_1 \ldots y_n e$ is sent to the map in $K^b(B)$ determined by:

$$\begin{pmatrix} 1\\ 0 \end{pmatrix} \mapsto \begin{pmatrix} (y_1 \dots y_n e, 0, \dots, 0)\\ 0 \end{pmatrix}.$$

Proof. Computation. Note that $E'^n X_1$ has just one term in degree 0, which is $E^n[y]$ in the upper row. The differential of $E'^n X_1$ out of this term is the map whose kernel is computed in Lemma 3.7.

Proposition 3.48. We have:

$$\begin{pmatrix} y_1 \dots y_n E^n[y] & y_1 \dots y_{n+1} E^{n+1}[y] \\ G_n & G_{n+1} \end{pmatrix} \xrightarrow{\sim} \begin{pmatrix} \tilde{E}_{11}^n & \tilde{E}_{12}^n \\ \tilde{E}_{21}^n & \tilde{E}_{22}^n \end{pmatrix}$$

where the maps on the upper row are from Lemma 3.47, and on the lower they are from the definition of G_n .

Together with Lemma 3.45, this gives a parametrization of \tilde{E}^n . We may record the matrix presentations for the first few powers:

$$\begin{pmatrix} y_1 E[y] & y_1 y_2 E^2[y] \\ G_1 & G_2 \end{pmatrix} \xrightarrow{\sim} \tilde{E},$$
$$\begin{pmatrix} y_1 y_2 E^2[y] & y_1 y_2 y_3 E^3[y] \\ G_2 & G_3 \end{pmatrix} \xrightarrow{\sim} \tilde{E}^2,$$
$$\begin{pmatrix} y_1 y_2 y_3 E^3[y] & y_1 y_2 y_3 y_4 E^4[y] \\ G_3 & G_4 \end{pmatrix} \xrightarrow{\sim} \tilde{E}^3.$$

4. Hecke action

In this section we introduce (C, C)-bimodule endomorphisms \tilde{x} of \tilde{E} and $\tilde{\tau}$ of \tilde{E}^2 , and show that they satisfy the relations of \mathcal{U}^+ .

4.1. **Definition of the action.** In §4.1.1 we give formulas for endomorphisms of the separate components of \tilde{E} and \tilde{E}^2 . A few lemmas are needed first in order to show that the formulas are well-defined on components of the form G_n , n = 1, 2, 3. Then in §4.1.2 we argue that these componentwise definitions jointly determine a morphism of (C, C)-bimodules.

4.1.1. Formulas for \tilde{x} and $\tilde{\tau}$.

Lemma 4.1. Let $(\theta, \varphi) \in G_1 \subset A^{\mathsf{op}}[y] \oplus \operatorname{Hom}_A(_AE, E)[y]$. Then $(y\theta, x \circ \varphi) \in G_1$.

Proof. Compute:

$$x \circ \varphi - y\theta = x(-\theta + y_1\varphi_1) - y\theta$$
$$= y_1(-\theta + x\varphi_1).$$

Lemma 4.2. Let $(e_1, e_2, \xi) \in G_2 \subset E[y]^{\oplus 2} \oplus \operatorname{Hom}_A(_A E, E^2)[y]$. Then $(ye_1, xe_2, xE \circ \xi) \in G_2$ and $(e', e', \tau \circ \xi) \in G_2$.

Proof. For the first claim, compute:

$$xE \circ \xi - \underline{\ } \otimes ye_1 = xE \circ (\underline{\ } \otimes e_1 + y_2\xi_1) - \underline{\ } \otimes ye_1$$
$$= y_2(\underline{\ } \otimes e_1 + xE \circ \xi_1),$$

and

$$xE \circ \xi - \delta(_\otimes xe_2) = xE \circ \left(\delta(_\otimes e_2) + y_1\xi_2\right) - \delta(_\otimes xe_2)$$
$$= \delta \circ Ex(_\otimes e_2) - y_1(_\otimes e_2)$$
$$+ y_1xE \circ \xi_2 - \delta(_\otimes xe_2)$$
$$= y_1(-_\otimes e_2 + xE \circ \xi_2).$$

For the second claim, use the alternative characterization of G_2 as given in Prop. 3.26, and compute:

$$\begin{aligned} \tau \circ \xi &= \tau(\underline{\ }\otimes e_1) + \tau y_2 \xi_1 \\ &= \tau(\underline{\ }\otimes e_1) + y_1 \tau \xi_1 - \xi_1 \\ &= \tau(\underline{\ }\otimes e_1) + y_1 \tau y_1 \xi' - \xi_1 \\ &= \tau(\underline{\ }\otimes (e_1 - e_2)) + y_1 y_2 \tau \xi' \\ &= \tau y_1 (\underline{\ }\otimes e') + y_1 y_2 \tau \xi' \\ &= \underline{\ }\otimes e' + y_2 \big(\tau(\underline{\ }\otimes e') + y_1 \tau \xi' \big). \end{aligned}$$

The last line has the form of an element of G_2 .

Lemma 4.3. Let $(ee_1, ee_2, ee_3, \chi) \in G_3 \subset E^2[y]^{\oplus 3} \oplus \operatorname{Hom}_A(_AE, E^3)[y]$. Then $(ee', ee', \tau(ee_3), \tau E \circ \chi) \in G_3$.

Proof. We use the alternative characterization of G_3 as given in Prop. 3.27, and compute:

$$\begin{aligned} \tau E \circ \chi &= \tau E(-\otimes ee_1) + \tau E y_3 \chi_1 \\ &= \tau E(-\otimes ee_1) - \chi_1 + y_2 \tau E \circ \chi_1 \\ &= \tau E(-\otimes ee_1) - \chi_1 + y_2 \tau E y_2 \left(E \tau \circ \tau E(-\otimes ee_3) + y_1 \chi'' \right) \\ &= \tau E(-\otimes ee_1) - \chi_1 \\ &+ \left(y_2 y_3 \tau E + y_2 \right) \cdot \left(E \tau \circ \tau E(-\otimes ee_3) + y_1 \chi'' \right) \\ &= \tau E(-\otimes (ee_1 - ee_2)) \\ &+ y_2 y_3 \left(\tau E \circ E \tau \circ \tau E(-\otimes ee_3) + y_1 \tau E \circ \chi'' \right) \\ &= \tau E y_2 (-\otimes ee') \\ &+ y_2 y_3 \left(E \tau \circ \tau E(-\otimes ee') + E \delta \circ \tau E(-\otimes \overline{ee}) + y_1 \tau E \circ \chi'' \right) \\ &= -\otimes ee' + y_3 \cdot \\ &\left(E \delta \circ \tau E(-\otimes ee') + y_2 \left(E \delta \circ \tau E(-\otimes \overline{ee}) + y_1 y_2 \tau E \circ \chi'' \right) \right) \\ &= -\otimes ee' + y_3 \cdot \\ &\left(-\tau E y_1 (-\otimes \overline{ee}) + E \delta \circ \tau E(-\otimes \tau (ee_3)) + y_1 y_2 \tau E \circ \chi'' \right). \end{aligned}$$

The last line has the form of an element of G_3 , namely $(ee', ee', \tau(ee_3), \tau E \circ \chi)$.

The element $(ee_1, ee_2, ee_3, \chi) \in G_3$ is associated (by Prop. 3.27) with further data that has been notated ee^{ℓ} , \overline{ee} , χ_{ℓ} , χ'_1 , and χ'' . We record the corresponding data associated with $(ee', ee', \tau(ee_3), \tau E \circ \chi)$ using the notation \overline{e} and $\overline{\chi}$ for the new versions:

$$\overline{e}\overline{e}' = 0$$

$$\overline{e}\overline{e}'' = \overline{e}\overline{e}$$

$$\overline{e}\overline{e}''' = \overline{e}\overline{e}$$

$$\overline{\overline{e}\overline{e}} = 0,$$

and

$$\bar{\chi} = (ee', ee', \tau(ee_3), \tau E \circ \chi)$$

$$\bar{\chi}_1 = -\tau E y_1(_\otimes \overline{ee}) + E\delta \circ \tau E \circ E\tau(_\otimes ee_3) + y_1 y_2 \tau E \circ \chi''$$

$$\bar{\chi}_2 = E\tau \circ \delta E \circ E\tau(_\otimes ee_3) + y_1 y_3 \tau E \circ \chi''$$

$$\bar{\chi}_3 = -\delta E(_\otimes \overline{ee}) + y_2 y_3 \tau E \circ \chi''$$

$$\bar{\chi}_1' = E\tau \circ \tau E \circ E\tau(_\otimes ee_3) + y_1 \tau E \circ \chi''$$

$$\bar{\chi}_1'' = \tau E \circ \chi''.$$

Now we give componentwise formulas for \tilde{x} and $\tilde{\tau}$. These formulas are well-defined on \tilde{E}_{21} , \tilde{E}_{22} , \tilde{E}_{21}^2 , and \tilde{E}_{22}^2 by the lemmas above.

Definition 4.4. We define the action of \tilde{x} on \tilde{E} as follows:

- on \tilde{E}_{11} : \tilde{x} acts by x
- on \tilde{E}_{12} : \tilde{x} acts by xE
- on \tilde{E}_{21} : \tilde{x} acts by $(\theta, \varphi) \mapsto (y\theta, x \circ \varphi)$
- on \tilde{E}_{22} : \tilde{x} acts by $(e_1, e_2, \xi) \mapsto (ye_1, xe_2, xE \circ \xi)$.

We define the action of $\tilde{\tau}$ on \tilde{E}^2 as follows:

- on \tilde{E}_{11}^2 : $\tilde{\tau}$ acts by τ
- on $\tilde{E}_{12}^{\hat{2}}$: $\tilde{\tau}$ acts by τE
- on $\tilde{E}_{21}^{2:}$: $\tilde{\tau}$ acts by $(e_1, e_2, \xi) \mapsto (e', e', \tau \circ \xi)$ on $\tilde{E}_{22}^{2:}$: $\tilde{\tau}$ acts by $(ee_1, ee_2, ee_3, \chi) \mapsto (ee', ee', \tau(ee_3), \tau E \circ \chi).$

Lemma 4.5. The formulas for \tilde{x} give a (C, C)-bimodule endomorphism of \tilde{E} .

Proof. Recall the definition of the complex E' of (B, B)-bimodules in §3.1.2. There is an $\begin{pmatrix} A[y] & 0 \\ 0 & A[y] \end{pmatrix}, \begin{pmatrix} A[y] & 0 \\ 0 & A[y] \end{pmatrix}$)-bimodule endomorphism x' of E' given componentwise in degrees 0 and 1 by (A[y], A[y])-bimodule endomorphisms:

$$x'_0 = \begin{pmatrix} x & xE_y \\ 0 & x \end{pmatrix}, \quad x'_1 = \begin{pmatrix} x & xE_y \\ y & x \end{pmatrix}.$$

The relation $s \circ E_y x = x E_y \circ s$ may be used to check that x'_0 and x'_1 together give a morphism of complexes of (B, B)-bimodules. This map induces a (C, C)bimodule endomorphism of $\operatorname{Hom}_{K^b(B)}(X, E'X)$ that agrees with the definition of \tilde{x} .

It follows that \tilde{x} induces endomorphisms $\tilde{x}\tilde{E}$ and $\tilde{E}\tilde{x}$. For future reference we write the formulas for those:

Proposition 4.6. The formulas for \tilde{x} determine the following formulas for $\tilde{x}\tilde{E}$ and $\tilde{E}\tilde{x}$ on \tilde{E}^2 :

- on \tilde{E}_{11}^2 : $\tilde{x}\tilde{E}$ acts by xE and $\tilde{E}\tilde{x}$ acts by Ex
- on \tilde{E}_{12}^2 : $\tilde{x}\tilde{E}$ acts by xE^2 and $\tilde{E}\tilde{x}$ by ExE
- on \tilde{E}_{21}^2 : $\tilde{x}\tilde{E}$ acts by

$$(e_1, e_2, \xi) \mapsto (ye_1, xe_2, xE \circ \xi)$$

and $\tilde{E}\tilde{x}$ by

$$(e_1, e_2, \xi) \mapsto (xe_1, ye_2, Ex \circ \xi)$$

• on \tilde{E}_{22}^2 : $\tilde{x}\tilde{E}$ acts by

$$(ee_1, ee_2, ee_3, \chi) \mapsto (yee_1, xE(ee_2), xE(ee_3), xE^2 \circ \chi)$$

and $\tilde{E}\tilde{x}$ by

$$(ee_1, ee_2, ee_3, \chi) \mapsto (xE(ee_1), yee_2, Ex(ee_3), ExE \circ \chi)$$

Proof. Use Lemma 3.45, in particular the diagram in the case n = m = 1. \Box

4.1.2. Bimodule structure of \tilde{E}^2 and equivariance of $\tilde{\tau}$.

Lemma 4.7. The formulas for $\tilde{\tau}$ give a (C, C)-bimodule endomorphism of \tilde{E}^2 .

For the maps we defined on components of \tilde{E}^2 to determine jointly a (C, C)bimodule endomorphism $\tilde{\tau}$, they must be equivariant with respect to the left and right *C*-actions. In order to check equivariance, we write formulas for the actions of the generators in *C* in the following four lemmas. The reader may verify these formulas from the various definitions.

Lemma 4.8. Generators in $A[y] \subset C$ act on the right on \tilde{E}^2 , in terms of the separate bimodule structures of \tilde{E}_{ij}^2 , as follows:

• $\tilde{E}_{11}^2 \otimes A[y] \to \tilde{E}_{11}^2$ by $y_1 y_2 E^2[y] \otimes_{A[y]} A[y] \longrightarrow y_1 y_2 E^2[y]$ $y_1 y_2 ee \otimes \theta \mapsto y_1 y_2 ee.\theta.$

•
$$\tilde{E}_{21}^2 \otimes A[y] \to \tilde{E}_{21}^2 \ by$$

$$G_2 \otimes_{A[y]} A[y] \longrightarrow G_2$$

(e_1, e_2, \xi) $\otimes \theta \mapsto (e_1.\theta, e_2.\theta, \xi(-).\theta)$.

They act on the left as follows:

•
$$A[y] \otimes \tilde{E}_{11}^2 \to \tilde{E}_{11}^2$$
 by
 $A[y] \otimes_{A[y]} y_1 y_2 E^2[y] \longrightarrow y_1 y_2 E^2[y]$
 $\theta \otimes y_1 y_2 ee \mapsto y_1 y_2 \theta.ee.$
• $A[y] \otimes \tilde{E}_{12}^2 \to \tilde{E}_{12}^2$ by

$$A[y] \otimes E_{12}^{-} \rightarrow E_{12}^{-} \quad \delta y$$
$$A[y] \otimes_{A[y]} y_1 y_2 y_3 E^3[y] \longrightarrow y_1 y_2 y_3 E^3[y]$$
$$\theta \otimes y_1 y_2 y_3 eee \mapsto y_1 y_2 y_3 \theta. eee.$$

Remark. We may confirm that the image of the action map $\tilde{E}_{21}^2 \to \tilde{E}_{21}^2$ preserves the conditions for G_2 :

$$\xi.\theta - \ \otimes e_1.\theta = y_2\xi_1.\theta,$$

$$\xi_1.\theta = \delta(\ \otimes e_2).\theta + (y_1\xi_2).\theta$$

$$= \delta(\ \otimes e_2.\theta) + y_1(\xi_2.\theta),$$

and the e_{ℓ} relation:

$$e_1.\theta - e_2.\theta = y_1e'.\theta$$

Lemma 4.9. Generators in $G_1^{\mathsf{op}} \subset C$ act on the right on \tilde{E}^2 as follows:

• $\tilde{E}_{12}^2 \otimes G_1^{\mathsf{op}} \to \tilde{E}_{12}^2 \ by$ $y_1 y_2 y_3 E^3[y] \otimes_{G_1^{\mathsf{op}}} G_1^{\mathsf{op}} \longrightarrow y_1 y_2 y_3 E^3[y]$ $y_1 y_2 y_3 eee \otimes (\theta, \varphi) \mapsto E^2 \varphi(y_1 y_2 y_3 eee)$

•
$$\tilde{E}_{22}^2 \otimes G_1^{\mathsf{op}} \to \tilde{E}_{22}^2 \ by$$

 $G_3 \otimes_{G_1^{\mathsf{op}}} G_1^{\mathsf{op}} \longrightarrow G_3$
 $(ee_1, ee_2, ee_3, \chi) \otimes (\theta, \varphi) \mapsto (E\varphi(ee_1), E\varphi(ee_2), ee_3.\theta, E^2\varphi \circ \chi).$
They act on the left as follows:
• $G_1^{\mathsf{op}} \otimes \tilde{E}_{21}^2 \to \tilde{E}_{21}^2 \ by$
 $G_1^{\mathsf{op}} \otimes_{G_1^{\mathsf{op}}} G_2 \longrightarrow G_2$

$$(\theta,\varphi)\otimes(e_1,e_2,\xi)\mapsto(\theta.e_1,\theta.e_2,\xi\circ\varphi)$$

• $G_1^{\mathsf{op}} \otimes \tilde{E}_{22}^2 \to \tilde{E}_{22}^2 \ by$

$$G_1^{\mathsf{op}} \otimes_{G_1^{\mathsf{op}}} G_3 \longrightarrow G_3$$
$$(\theta, \varphi) \otimes (ee_1, ee_2, ee_3, \chi) \mapsto (\theta.ee_1, \theta.ee_2, \theta.ee_3, \chi \circ \varphi).$$

Remark 4.10. We may confirm that the image of the right action map $\tilde{E}_{22}^2 \otimes G_1^{op} \to \tilde{E}_{22}^2$ preserves the conditions for G_3 :

$$E^{2}\varphi \circ \chi = _ \otimes E\varphi(ee_{1}) + E^{2}\varphi(\chi - _ \otimes ee_{1})$$

$$= _ \otimes E\varphi(ee_{1}) + y_{3}(E^{2}\varphi \circ \chi_{1}),$$

$$E^{2}\varphi \circ \chi_{1} = \tau E(_ \otimes E\varphi(ee_{2})) + y_{2}E^{2}\varphi \circ \chi'_{1}$$

$$= \tau E \circ E^{2}(_.\theta + y_{1}\varphi_{1}) \circ (_ \otimes ee_{2}) + y_{2}E^{2}\varphi \circ \chi'_{1},$$

$$E^{2}\varphi \circ \chi'_{1} = E^{2}(_.\theta) \circ E\tau \circ \tau E(_ \otimes ee_{3}) + y_{1}E^{2}\varphi_{1} \circ \chi'_{1} + y_{1}\chi''.\theta$$

$$= E\tau \circ \tau E(_ \otimes ee_{3}.\theta) + y_{1}(\chi''.\theta + E^{2}\varphi_{1} \circ \chi'_{1}).$$

And the ee_{ℓ} relations:

$$\begin{split} E\varphi(ee_1) - E\varphi(ee_2) &= y_2 E\varphi(ee'),\\ ee_3.\theta - E\varphi(ee_2) &= (ee_3 - ee_2).\theta - y_1 E\varphi_1(ee_2)\\ &= y_1 \left(ee''.\theta - E\varphi_1(ee_2)\right),\\ \delta(ee_3.\theta) - E\varphi(ee_1) &= y_2 \tau(ee_3).\theta + (ee_3 - ee_1).\theta - y_1 E\varphi_1(ee_1)\\ &= y_2 \tau(ee_3).\theta + y_1 ee''.\theta - y_2 ee'.\theta - y_1 E\varphi_1(ee_1)\\ &= y_1 \left(y_2 \overline{ee}.\theta + ee''.\theta - E\varphi_1(ee_1)\right). \end{split}$$

Similarly we may confirm that the image of the left action map $G_1^{op} \otimes \tilde{E}_{21}^2 \to \tilde{E}_{21}^2$ lies in G_2 :

$$\begin{aligned} \xi \circ \varphi &= \varphi(-) \otimes e_1 + y_2 \xi_1 \circ \varphi \\ &= \underline{} \otimes \theta . e_1 + y_2 \big(\varphi_1(-) \otimes e_1 + \xi_1 \circ \varphi \big), \\ \xi_1 \circ \varphi + \varphi_1(-) \otimes e_1 &= \tau(\underline{} \otimes e_2) \circ \varphi + y_1 \xi' \circ \varphi + \varphi_1(-) \otimes e_1 \\ &= \tau(\underline{} \otimes \theta . e_2) + \tau y_2 (\varphi_1(-) \otimes e_2) + y_1 \xi' \circ \varphi + \varphi_1(-) \otimes e_1 \\ &= \tau(\underline{} \otimes \theta . e_2) + y_1 (\tau(\varphi_1(-) \otimes e_2) + \varphi_1(-) \otimes e' + \xi' \circ \varphi) \end{aligned}$$

And the e_{ℓ} relation:

$$\theta.e_1 - \theta.e_2 = y_1\theta.e'.$$

•

And the image of the left action map $G_1^{\mathsf{op}} \otimes \tilde{E}_{22}^2 \to \tilde{E}_{22}^2$ lies in G_3 :

$$\chi \circ \varphi = \varphi(-) \otimes ee_1 + y_3 \chi_1 \circ \varphi$$

= $_ \otimes \theta.ee_1 + y_3 (\varphi_1 \otimes ee_1 + \chi_1 \circ \varphi),$
 $\chi_1 \circ \varphi = \tau E(_ \otimes \theta.ee_2) + \tau E y_3 (\varphi_1 \otimes ee_2) + y_2 \chi'_1 \circ \varphi,$
 $\varphi_1 \otimes ee_1 + \chi_1 \circ \varphi = \tau E(_ \otimes \theta.ee_2) + y_2 \Big(\tau E(\varphi_1 \otimes ee_2) + \varphi_1 \otimes ee' + \chi'_1 \circ \varphi \Big),$
 $\chi'_1 \circ \varphi = E \tau \circ \tau E(_ \otimes \theta.ee_3) + E \tau \circ \tau E \circ y_3 (\varphi_1 \otimes ee_3) + y_1 \chi'' \circ \varphi$
= $E \tau \circ \tau E(_ \otimes \theta.ee_3) + y_1 (E \tau \circ \tau E)(\varphi_1 \otimes ee_3)$
 $- \tau E(\varphi_1 \otimes ee_3) - E \tau (\varphi_1 \otimes ee_3) + y_1 \chi'' \circ \varphi,$

$$\tau E(\varphi_1 \otimes ee_2) + \varphi_1 \otimes ee' + \chi_1' \circ \varphi = E\tau \circ \tau E(-\otimes \theta.ee_3) + y_1 \Big((E\tau \circ \tau E)(\varphi_1 \otimes ee_3) - \tau E(\varphi_1 \otimes ee'') - \varphi_1 \otimes \overline{ee} + \chi'' \circ \varphi \Big).$$

And the ee_{ℓ} relations:

$$\theta.ee_1 - \theta.ee_2 = y_2\theta.ee'$$

$$\theta.ee_3 - \theta.ee_2 = y_1\theta.ee''$$

$$\delta(\theta.ee_3) - \theta.ee_1 = y_1\theta.ee'''.$$

Lemma 4.11. Generators in $y_1 E[y] \subset C$ act on the right on \tilde{E}^2 as follows: • $\tilde{E}_{11}^2 \otimes y_1 E[y] \to \tilde{E}_{12}^2$ by

$$y_1y_2E^2[y] \otimes_{A[y]} y_1E[y] \longrightarrow y_1y_2y_3E^3[y]$$
$$y_1y_2ee \otimes y_1e \mapsto y_1y_2y_3(ee \otimes e)$$

•
$$\tilde{E}_{21}^2 \otimes y_1 E[y] \to \tilde{E}_{22}^2 \ by$$

$$G_2 \otimes_{A[y]} y_1 E[y] \longrightarrow G_3$$

(e_1, e_2, \xi) $\otimes y_1 e \mapsto (e_1 \otimes y_1 e, e_2 \otimes y_1 e, 0, \xi(-) \otimes y_1 e).$

They act on the left as follows:

•
$$y_1 E[y] \otimes \tilde{E}_{21}^2 \to \tilde{E}_{11}^2$$
 by

$$\begin{split} y_1 E[y] \otimes_{G_1^{\text{op}}} G_2 &\longrightarrow y_1 y_2 E^2[y] \\ y_1 e \otimes (e_1, e_2, \xi) &\mapsto \xi(y_1 e) \end{split}$$

• $y_1 E[y] \otimes \tilde{E}_{22}^2 \to \tilde{E}_{12}^2 \ by$

$$y_1 E[y] \otimes_{G_1^{op}} G_3 \longrightarrow y_1 y_2 y_3 E^3[y]$$
$$y_1 e \otimes (ee_1, ee_2, ee_3, \chi) \mapsto \chi(y_1 e).$$

Remark. We may confirm that the image of the right action map $\tilde{E}_{21}^2 \otimes y_1 E[y] \to \tilde{E}_{22}^2$ preserves the conditions for G_3 :

$$\chi = \xi \otimes y_1 e,$$

$$\chi - \underline{} \otimes e_1 \otimes y_1 e = y_1 y_3(\xi_1 \otimes e),$$

$$\chi - \delta E(\underline{} \otimes e_2 \otimes y_1 e) = (\xi - \delta(\underline{} \otimes e_2)) \otimes y_1 e$$

$$= y_1 y_2(\xi_2 \otimes e).$$

Similarly we may confirm that the image of the left action map $y_1 E[y] \otimes \tilde{E}_{21}^2 \rightarrow \tilde{E}_{11}^2$ lies in $y_1 y_2 E^2[y]$:

$$\begin{aligned} \xi \circ y_1 &= y_2 (- \otimes e_1 + \xi_1 \circ y_1), \\ \xi_1 \circ y_1 &= \tau y_2 (- \otimes e_2) + y_1 \xi' \circ y_1 \\ &= y_1 (\tau (- \otimes e_2) + \xi' \circ y_1) - - \otimes e_2, \\ \xi \circ y_1 &= y_2 \Big(y_1 (\tau (- \otimes e_2) + \xi' \circ y_1) + - \otimes (e_1 - e_2) \Big) \\ &= y_1 y_2 \Big(\tau (- \otimes e_2) + - \otimes e' + \xi' \circ y_1 \Big). \end{aligned}$$

And the image of the left action map $y_1 E[y] \otimes \tilde{E}_{22}^2 \to \tilde{E}_{12}^2$ lies in $y_1 y_2 y_3 E^3[y]$:

$$\begin{split} \chi \circ y_{1} &= y_{3} \big(-\otimes ee_{1} + \chi_{1} \circ y_{1} \big) \\ \chi_{1} \circ y_{1} &= -\tau E y_{3} y_{1} (-\otimes ee'') \\ &+ E\delta \circ \tau E y_{3} (-\otimes ee_{3}) + y_{1} y_{2} \chi'' \circ y_{1} \\ &= -\tau E y_{3} y_{1} (-\otimes ee'') + E\delta \circ y_{2} \tau E (-\otimes ee_{3}) \\ &- E\delta (-\otimes ee_{3}) + y_{1} y_{2} \chi'' \circ y_{1} \\ &= -y_{2} \tau E y_{1} (-\otimes ee'') + y_{1} (-\otimes ee'') + y_{1} y_{2} E \tau \circ \tau E (-\otimes ee_{3}) \\ &- y_{1} (-\otimes ee'') - - \otimes ee_{1} + y_{1} y_{2} \chi'' \circ y_{1} \\ \chi \circ y_{1} &= y_{3} y_{2} y_{1} \left(-\tau E (-\otimes ee'') + E\tau \circ \tau E (-\otimes ee_{3}) + \chi'' \circ y_{1} \right) \\ &+ y_{3} y_{1} (-\otimes ee'' - - \otimes ee''') \\ &= y_{3} y_{2} y_{1} \left(-\tau E (-\otimes ee'') + E\tau \circ \tau E (-\otimes ee_{3}) - - \otimes \overline{ee} + \chi'' \circ y_{1} \right) . \end{split}$$

Lemma 4.12. Generators in $F[y] \subset C$ act on the right on \tilde{E}^2 as follows: • $\tilde{E}_{12}^2 \otimes F[y] \to \tilde{E}_{11}^2$ by

$$y_1 y_2 y_3 E^3[y] \otimes_{G_1^{\text{op}}} F[y] \longrightarrow y_1 y_2 E^2[y]$$
$$y_1 y_2 y_3 eee \otimes f \mapsto y_1 y_2 E^2 f(y_1 eee)$$

.

•
$$\tilde{E}_{22}^2 \otimes F[y] \to \tilde{E}_{21}^2$$
 by
 $G_3 \otimes_{G_1^{\text{op}}} F[y] \longrightarrow G_2$
 $(ee_1, ee_2, ee_3, \chi) \otimes f \mapsto (Ef(ee_1), Ef(ee_2), E^2 f \circ \chi)$

They act on the left as follows:

• $F[y] \otimes \tilde{E}_{11}^2 \rightarrow \tilde{E}_{21}^2$ by $F[y] \otimes_{A[y]} y_1 y_2 E^2[y] \longrightarrow G_2$ $f \otimes y_1 y_2 ee \mapsto (0, 0, f(-).y_1 y_2 ee)$ • $F[y] \otimes \tilde{E}_{12}^2 \rightarrow \tilde{E}_{22}^2$ by

$$F[y] \otimes_{A[y]} y_1 y_2 y_3 E^3[y] \longrightarrow G_3$$

$$f \otimes y_1 y_2 y_3 eee \mapsto (0, 0, 0, f(-).y_1 y_2 y_3 eee) .$$

Remark. We may observe that the image of the right action map $\tilde{E}_{22}^2 \otimes F[y] \rightarrow \tilde{E}_{21}^2$ preserves the conditions for G_3 :

$$E^{2}f \circ \chi - _ \otimes Ef(ee_{1}) = E^{2}f \circ (\chi - _ \otimes ee_{1})$$

= $y_{2}E^{2}f \circ \chi_{1},$
$$E^{2}f \circ \chi - \delta(_ \otimes Ef(ee_{2})) = E^{2}f \circ (\chi - \delta E(_ \otimes ee_{2}))$$

= $E^{2}f \circ y_{2}\chi_{2}$
= $y_{1}E^{2}f \circ \chi_{2},$

and the ee_{ℓ} relation:

$$Ef(ee_1 - ee_2) = Ef(y_2ee'')$$
$$= y_1Ef(ee'').$$

It is trivial to check the conditions for the images of the left action maps $F[y] \otimes \tilde{E}_{11}^2 \to \tilde{E}_{21}^2$ and $F[y] \otimes \tilde{E}_{12}^2 \to \tilde{E}_{22}^2$.

Proof of Lemma 4.7. The reader may now check that $\tilde{\tau}$ defined in §4.1.1 is equivariant over the left and right C actions. These checks are completely mechanical using the formulas just given.

4.2. Hecke relations.

4.2.1. \tilde{x} and $\tilde{\tau}$ satisfy Hecke relations. These checks are also mechanical, but we write them out because they are important.

Proposition 4.13. On each component \tilde{E}_{ij}^2 , the maps \tilde{x} and $\tilde{\tau}$ defined in §4.1.1 satisfy

$$\begin{split} \tilde{E}\tilde{x}\circ\tilde{\tau}-\tilde{\tau}\circ\tilde{x}\tilde{E} &= Id\\ \tilde{\tau}\circ\tilde{E}\tilde{x}-\tilde{x}\tilde{E}\circ\tilde{\tau} &= Id. \end{split}$$

Proof. On the first row, \tilde{E}_{11}^2 and \tilde{E}_{12}^2 , the relations follow from the corresponding relations between x and τ .

On \tilde{E}_{21}^2 presented as G_2 , we have:

$$\tilde{E}\tilde{x} \circ \tilde{\tau} : (e_1, e_2, \xi) \mapsto (xe', ye', Ex \circ \tau \circ \xi)
\tilde{\tau} \circ \tilde{x}\tilde{E} : (e_1, e_2, \xi) \mapsto (ye' - e_2, ye' - e_2, \tau \circ xE \circ \xi)
\tilde{\tau} \circ \tilde{E}\tilde{x} : (e_1, e_2, \xi) \mapsto (e_2 + xe', e_2 + xe', \tau \circ Ex \circ \xi)
\tilde{x}\tilde{E} \circ \tilde{\tau} : (e_1, e_2, \xi) \mapsto (ye', xe', xE \circ \tau \circ \xi),$$

from which

$$\tilde{E}\tilde{x}\circ\tilde{\tau}-\tilde{\tau}\circ\tilde{x}\tilde{E}:(e_1,e_2,\xi)\mapsto (y_1e'+e_2,e_2,(Ex\circ\tau-\tau\circ xE)\circ\xi)$$
$$=(e_1,e_2,\xi),$$

and similarly for the other relation.

On \tilde{E}_{22}^2 presented as G_3 , we have:

$$\begin{split} \tilde{E}\tilde{x}\circ\tilde{\tau}:(ee_1,ee_2,ee_3,\chi) &\mapsto (xE(ee'),yee',Ex\circ\tau(ee_3),ExE\circ\tau E\circ\chi)\\ \tilde{\tau}\circ\tilde{x}\tilde{E}:(ee_1,ee_2,ee_3,\chi) &\mapsto (yee'-ee_2,yee'-ee_2,\tau\circ xE(ee_3),\tau E\circ xE^2\circ\chi)\\ \tilde{\tau}\circ\tilde{E}\tilde{x}:(ee_1,ee_2,ee_3,\chi) &\mapsto (ee_1+yee',ee_1+yee',\tau\circ Ex(ee_3),\tau E\circ ExE\circ\chi)\\ \tilde{x}\tilde{E}\circ\tilde{\tau}:(ee_1,ee_2,ee_3,\chi) &\mapsto (yee',xE(ee'),xE\circ\tau(ee_3),xE^2\circ\tau E\circ\chi), \end{split}$$

and so

$$\begin{split} \dot{E}\tilde{x}\circ\tilde{\tau}-\tilde{\tau}\circ\tilde{x}\dot{E}:(ee_1,ee_2,ee_3,\chi)\mapsto\\ \left(y_2ee'+ee_2,ee_2,(Ex\circ\tau-\tau\circ xE)(ee_3),(ExE\circ\tau E-\tau E\circ xE^2)\circ\chi\right)\\ &=(ee_1,ee_2,ee_3,\chi),\end{split}$$

and similarly for the other relation.

4.2.2. $\tilde{\tau}^2 = 0$. This is clear.

4.2.3. $\tilde{\tau}$ satisfies the braid relation. In this section we give formulas defining kmodule endomorphisms $\tilde{\tau}_1$ and $\tilde{\tau}_2$ of the components of the matrix parametrization of \tilde{E}^3 . We show that these endomorphisms satisfy the braid relations. Then we argue that they correspond to the maps $\tilde{E}\tilde{\tau}$ and $\tilde{\tau}\tilde{E}$ induced on the same bimodule components. This will complete our proof that \tilde{x} and $\tilde{\tau}$ satisfy the nil affine Hecke relations in \mathcal{U}^+ .

Lemma 4.14. Let us be given $(ee_1, ee_2, ee_3, \chi) \in G_3$ with ee'' defined as in §3.21. Then

$$(\tau(ee_1), -ee'', -ee'', E\tau \circ \chi) \in E^2[y]^{\oplus 3} \oplus \operatorname{Hom}_A(_AE, E^3)[y]$$

also lies in G_3 .

Proof. The reader may check this directly. In Prop. 4.18 we will interpret this element as the image of (ee_1, ee_2, ee_3, χ) under $\tilde{E}\tilde{\tau}$, and it must therefore lie in G_3 .

Lemma 4.15. Let us be given (eee₁, eee₂, eee₃, eee₄, ψ) $\in G_4$ with eee^(\ell) defined as in §3.23. Then the following elements of $E^3[y]^{\oplus 4} \oplus \operatorname{Hom}_A(_AE, E^4)[y]$ also lie in G_4 :

$$(\tau E(eee_1), eee^{(2)}, eee^{(2)}, E\tau(eee_4), E\tau E \circ \psi),$$

 $(eee^{(4)}, eee^{(4)}, \tau E(eee_3), \tau E(eee_4), \tau E^2 \circ \psi).$

Proof. The reader may check this directly. In Prop. 4.18 we will interpret these elements as the images of $(eee_1, eee_2, eee_3, eee_4, \psi)$ under $\tilde{E}\tilde{\tau}$ and $\tilde{\tau}\tilde{E}$ respectively, and they must therefore lie in G_4 .

Definition 4.16. Let $\tilde{\tau}_1, \tilde{\tau}_2$ be k-module maps defined on \tilde{E}_{ij}^3 , presented as in §3.4.2, as follows:

• on
$$\tilde{E}_{11}^3$$
:
 $-\tilde{\tau}_1 \operatorname{acts} \operatorname{by} E\tau$
 $-\tilde{\tau}_2 \operatorname{by} \tau E$
• on \tilde{E}_{12}^3 :
 $-\tilde{\tau}_1 \operatorname{by} E\tau E$
 $-\tilde{\tau}_2 \operatorname{by} \tau E^2$
• on \tilde{E}_{21}^3 :
 $-\tilde{\tau}_1 \operatorname{by} (ee_1, ee_2, ee_3, \chi) \mapsto (\tau(ee_1), -ee'', -ee'', E\tau \circ \chi)$
 $-\tilde{\tau}_2 \operatorname{by} (ee_1, ee_2, ee_3, \chi) \mapsto (ee', ee', \tau(ee_3), \tau E \circ \chi),$
i.e. $\tilde{\tau}$ as defined above on G_3 considered as \tilde{E}_{22}^2
• on \tilde{E}_{22}^3 :
 $-\tilde{\tau}_1 \operatorname{by} (eee_1, eee_2, eee_3, eee_4, \psi) \mapsto$
 $(\tau E(eee_1), eee^{(2)}, eee^{(2)}, E\tau(eee_4), E\tau E \circ \psi)$
 $-\tilde{\tau}_2 \operatorname{by} (eee_1, eee_2, eee_3, eee_4, \psi) \mapsto$
 $(eee^{(4)}, eee^{(4)}, \tau E(eee_3), \tau E(eee_4), \tau E^2 \circ \psi).$

Proposition 4.17. The $\tilde{\tau}_i$ satisfy $\tilde{\tau}_1 \circ \tilde{\tau}_2 \circ \tilde{\tau}_1 = \tilde{\tau}_2 \circ \tilde{\tau}_1 \circ \tilde{\tau}_2$.

Proof. On \tilde{E}_{1j}^2 the claim follows from the τ_i braid relation. On $\tilde{E}_{21}^2 = G_3$ we have:

$$\begin{aligned} (ee_1, ee_2, ee_3, \chi) & \stackrel{\tilde{\tau}_1}{\longmapsto} \\ (\tau(ee_1), -ee'', -ee'', E\tau \circ \chi) & \stackrel{\tilde{\tau}_2}{\longmapsto} \\ (-\overline{ee} - \tau(ee'''), -\overline{ee} - \tau(ee'''), -\tau(ee''), \tau E \circ E\tau \circ \chi) & \stackrel{\tilde{\tau}_1}{\longmapsto} \\ (-\tau(\overline{ee}), -\tau(\overline{ee}), -\tau(\overline{ee}), E\tau \circ \tau E \circ E\tau \circ \chi) \end{aligned}$$

and

$$(ee_{1}, ee_{2}, ee_{3}, \chi) \xrightarrow{\tilde{\tau}_{2}} \\ (ee', ee', \tau(ee_{3}), \tau E \circ \chi) \xrightarrow{\tilde{\tau}_{1}} \\ (\tau(ee'), -\overline{ee}, -\overline{ee}, E\tau \circ \tau E \circ \chi) \xrightarrow{\tilde{\tau}_{2}} \\ (-\tau(\overline{ee}), -\tau(\overline{ee}), -\tau(\overline{ee}), \tau E \circ E\tau \circ \tau E \circ \chi).$$

On
$$\tilde{E}_{22}^3 = G_4$$
 we have:
 $(eee_1, eee_2, eee_3, eee_4, \psi) \xrightarrow{\tilde{\tau}_1}$
 $(\tau E(eee_1), eee^{(2)}, eee^{(2)}, E\tau(eee_4), E\tau E \circ \psi) \xrightarrow{\tilde{\tau}_2}$
 $(\tau E(eee^{(5)}) + \overline{eee}, \tau E(eee^{(5)}) + \overline{eee}, \tau E(eee^{(2)}), \tau E \circ E\tau(eee_4), \tau E^2 \circ E\tau E \circ \psi) \xrightarrow{\tilde{\tau}_1}$
 $(\tau E(\overline{eee}), \tau E(\overline{eee}), \tau E(\overline{eee}), E\tau \circ \tau E \circ E\tau(eee_4), E\tau E \circ \tau E^2 \circ E\tau E \circ \psi)$
and
 $(eee_1, eee_2, eee_3, eee_4, \psi) \xrightarrow{\tilde{\tau}_2}$
 $(eee^{(4)}, eee^{(4)}, \tau E(eee_3), \tau E(eee_4), \tau E^2 \circ \psi) \xrightarrow{\tilde{\tau}_1}$
 $(\tau E(eee^{(4)}), \overline{eee}, \overline{eee}, E\tau \circ \tau E(eee_4), E\tau E \circ \tau E^2 \circ \psi) \xrightarrow{\tilde{\tau}_2}$
 $(\tau E(\overline{eee}), \tau E(\overline{eee}), \tau E(\overline{eee}), \tau E(\overline{eee}), \tau E \circ E\tau \circ \tau E(eee_4), \tau E^2 \circ E\tau E \circ \tau E^2 \circ \psi).$

The remaining goal of this section is to relate the $\tilde{\tau}_i$ just defined to the $\tilde{\tau}$ acting on \tilde{E} as described in §4.1.1. The latter is known to be a (C, C)-bimodule morphism.

Proposition 4.18. Under the isomorphism of Lemma 3.45, namely $\tilde{E}^3 \xrightarrow{\sim} \operatorname{Hom}_{K^b(B)}(X, E'^3X),$

the maps $\tilde{E}\tilde{\tau}$ and $\tilde{\tau}\tilde{E}$ on \tilde{E}^3 correspond to $\tilde{\tau}_1$ and $\tilde{\tau}_2$ of Definition 4.16.

Corollary 4.19. Lemmas 4.14 and 4.15 follow.

Corollary 4.20. Proposition 4.17 implies $\tilde{E}\tilde{\tau} \circ \tilde{\tau}\tilde{E} \circ \tilde{E}\tilde{\tau} = \tilde{\tau}\tilde{E} \circ \tilde{E}\tilde{\tau} \circ \tilde{\tau}\tilde{E}$.

Proof of the proposition. We consider the tensor product $\tilde{E} \otimes_C \tilde{E}^2$ formed according to the procedure of §2.4, and study the endofunctor $\tilde{E}\tilde{\tau}$ as in Lemma 2.8, and similarly for $\tilde{E}^2 \otimes_C \tilde{E}$ and $\tilde{\tau}\tilde{E}$. From Lemma 3.45, we have isomorphisms

 $\operatorname{Hom}_{K^b(B)}(X, E'X) \otimes_C \operatorname{Hom}_{K^b(B)}(X, E'^2X) \xrightarrow{\sim} \operatorname{Hom}_{K^b(B)}(X, E'^3X)$

 $\operatorname{Hom}_{K^{b}(B)}(X, E'^{2}X) \otimes_{C} \operatorname{Hom}_{K^{b}(B)}(X, E'X) \xrightarrow{\sim} \operatorname{Hom}_{K^{b}(B)}(X, E'^{3}X)$

associated with the products

$$\tilde{E} \otimes_C \tilde{E}^2 = \tilde{E}^3$$
$$\tilde{E}^2 \otimes_C \tilde{E} = \tilde{E}^3$$

The maps are given by

$$f \otimes g \mapsto E'g \circ f$$
$$f \otimes g \mapsto E'^2g \circ f$$

These isomorphisms determine actions of $\tilde{E}\tilde{\tau}$ and $\tilde{\tau}\tilde{E}$ on $\operatorname{Hom}_{K^b(B)}(X, E'^3X)$ that we may compare to the $\tilde{\tau}_1$ and $\tilde{\tau}_2$ defined there by components.

The components \tilde{E}_{ij} and \tilde{E}_{ij}^2 are $(\operatorname{End}(X_i)^{\operatorname{op}}, \operatorname{End}(X_j)^{\operatorname{op}})$ -bimodules, and $\tilde{\tau}$ gives bimodule endomorphisms $\tilde{\tau}_{|ij}$ of the latter. These induce endomorphisms $(\tilde{E}\tilde{\tau})_{|ijk}^{1|2}$ of

$$\tilde{E}_{ijk}^{1|2} = \tilde{E}_{ij} \otimes_{\mathrm{End}(X_j)^{\mathrm{op}}} \tilde{E}_{jk}^2$$

as in §2.4. We know that \tilde{E}_{ik}^3 is canonically isomorphic to a quotient of $\tilde{E}_{i1k}^{1|2} \oplus \tilde{E}_{i2k}^{1|2}$, and that $\begin{pmatrix} (\tilde{E}\tilde{\tau})_{|i1k}^{1|2} & 0 \\ 0 & (\tilde{E}\tilde{\tau})_{|i2k}^{1|2} \end{pmatrix}$ acting on $\tilde{E}_{i1k}^{1|2} \oplus \tilde{E}_{i2k}^{1|2}$ descends to \tilde{E}_{ik}^3 , where it gives the components of $\tilde{E}\tilde{\tau}$. Here it may be compared directly with $\tilde{\tau}_1$ that we defined on \tilde{E}_{ik}^3 . It therefore suffices for our objective to check commutativity of the following diagrams labeled $D_{1|2}(i, j, k)$, indexed by triples $(i, j, k) \in \{1, 2\}^3$:

$$\begin{split} \tilde{E}_{ij} \otimes_{\mathrm{End}(X_j)^{\mathrm{op}}} \tilde{E}_{jk}^2 & \xrightarrow{f \otimes g \mapsto E'g \circ f} \tilde{E}_{ik}^3 \\ D_{1|2}(i,j,k) : & \left| \begin{pmatrix} \tilde{E}\tilde{\tau} \end{pmatrix}_{|ijk}^{1|2} & \tilde{\tau}_{1|ik} \\ \tilde{E}_{ij} \otimes_{\mathrm{End}(X_j)^{\mathrm{op}}} \tilde{E}_{jk}^2 & \xrightarrow{f \otimes g \mapsto E'g \circ f} \tilde{E}_{ik}^3 \\ \end{split}$$

Exactly parallel considerations apply to the study of $\tilde{\tau}\tilde{E}$, where the diagrams for (i, j, k), now labeled $D_{2|1}(i, j, k)$, instead involve maps $(\tilde{E}\tilde{\tau})^{2|1}_{|ijk}$ and $\tilde{\tau}_{2|ik}$.

Checking the diagrams will occupy the next three pages.

Lemma 4.21. The diagrams $D_{1|2}(i, j, k)$ commute.

Proof. We consider the diagrams in turn:

• Diagram $D_{1|2}(1, 1, 1)$:

Consider $(\tilde{E}\tilde{\tau})_{|111}^{1|2} \in \text{End}(\tilde{E}_{11} \otimes \tilde{E}_{11}^2)$. Let $y_1e \in \tilde{E}_{11}$ and $y_1y_2ee \in \tilde{E}_{11}^2$. The image of $y_1e \otimes y_1y_2ee$ in the top right corner of the diagram is

$$E'(y_1y_2ee) \circ y_1e = y_1y_2y_3(e \otimes ee) \in E_{11}^3.$$

Here we can write out $E'(y_1y_2ee) = (y_1y_2ee, 0, 0, -\otimes y_1y_2ee) \in G_3$. On the other hand, $\tilde{\tau}(y_1y_2ee) = y_1y_2\tau(ee)$, so the image of $(\tilde{E}\tilde{\tau})^{1/2}_{|111}(y_1e\otimes y_1y_2ee)$ is $y_1y_2y_3(e\otimes \tau(ee)) \in \tilde{E}^3_{11}$, which agrees with $\tilde{\tau}_1(y_1y_2y_3(e\otimes ee))$.

• Diagram $D_{1|2}(1,2,1)$:

Consider $(\tilde{E}\tilde{\tau})_{|121}^{1|2} \in \operatorname{End}(\tilde{E}_{12} \otimes \tilde{E}_{21}^2)$. Let $y_1y_2ee \in \tilde{E}_{12}$ and $(e_1, e_2, \xi) \in \tilde{E}_{21}^2$. We have no established notation for $E'((e_1, e_2, \xi)) \in \operatorname{Hom}_{K^b(B)}(E'X_2, E'^2X_1)$. It is nevertheless easy to check, by tracking 'leading terms' of the upper rows, that

 $E'((e_1, e_2, \xi)) \circ y_1 y_2 ee = E\xi(y_1 y_2 ee) \in \tilde{E}_{11}^3.$

This lies in $y_1y_2y_3E^3[y]$. Then $\tilde{\tau}((e_1, e_2, \xi)) = (e', e', \tau \circ \xi)$, so $(\tilde{E}\tilde{\tau})^{1|2}_{|121}$ applied to $y_1y_2ee \otimes (e_1, e_2, \xi)$ and viewed in \tilde{E}^3_{11} is $E\tau \circ E\xi(y_1y_2ee)$.

• Diagram $D_{1|2}(2, 1, 1)$:

Consider $(\tilde{E}\tilde{\tau})_{|211}^{1|2} \in \operatorname{End}(\tilde{E}_{21} \otimes \tilde{E}_{11}^2)$. Let $(\theta, \varphi) \in \tilde{E}_{21}$ and $y_1y_2ee \in \tilde{E}_{11}^2$. This time we can write $E'(y_1y_2ee) = (y_1y_2ee, 0, 0, _\otimes y_1y_2ee)$. Then

$$E'(y_1y_2ee) \circ (\theta, \varphi) = (\theta y_1y_2ee, 0, 0, \varphi \otimes y_1y_2ee) \in E_{21}^3.$$

Going around the diagram in either direction yields $(\theta y_1 y_2 \tau(ee), 0, 0, \varphi \otimes y_1 y_2 \tau(ee)).$

• Diagram $D_{1|2}(2,2,1)$:

Consider $(\tilde{E}\tilde{\tau})^{1|2}_{|221} \in \operatorname{End}(\tilde{E}_{22} \otimes \tilde{E}^2_{21})$. Let $(e_1, e_2, \xi) \in \tilde{E}_{22}$ and $(\bar{e}_1, \bar{e}_2, \bar{\xi}) \in \tilde{E}^2_{21}$. We have no notation for $E'((\bar{e}_1, \bar{e}_2, \bar{\xi}))$. One computes that

$$E'((\bar{e}_1, \bar{e}_2, \bar{\xi})) \circ (e_1, e_2, \xi) = (\bar{\xi}(e_1), e_2 \otimes \bar{e}_1, e_2 \otimes \bar{e}_2, E\bar{\xi} \circ \xi) \in \tilde{E}^3_{21}.$$

Traversing the diagram in either direction gives $(\tau \circ \overline{\xi}(e_1), e_2 \otimes \overline{e}', e_2 \otimes \overline{e}', E\tau \circ E\overline{\xi} \circ \xi)$.

• Diagram $D_{1|2}(1, 1, 2)$:

Consider $(\tilde{E}\tilde{\tau})^{1|2}_{|112} \in \text{End}(\tilde{E}_{11} \otimes \tilde{E}^2_{12})$. Let $y_1 e \in \tilde{E}_{11}$ and $y_1 y_2 y_3 eee \in \tilde{E}^2_{12}$. Again by tracking 'leading terms', one checks that

$$E'(y_1y_2y_3eee) \circ y_1e = y_1 \dots y_4(e \otimes eee) \in E_{12}^3.$$

Traversing the diagram in either direction gives $E \tau E(y_1 \dots y_4 e \otimes eee)$ which is $y_1 \dots y_4(e \otimes \tau E(eee))$.

• Diagram $D_{1|2}(1,2,2)$:

Consider $(\tilde{E}\tilde{\tau})^{1|2}_{|122} \in \operatorname{End}(\tilde{E}_{12} \otimes \tilde{E}^2_{22})$. Let $y_1y_2ee \in \tilde{E}_{12}$ and $(ee_1, ee_2, ee_3, \chi) \in \tilde{E}^2_{22}$. Then check that

$$E'((ee_1, ee_2, ee_3, \chi)) \circ y_1 y_2 ee = E\chi(y_1 y_2 ee) \in \tilde{E}^3_{12}.$$

Traversing the diagram in either direction gives $(E\tau E \circ E\chi)(y_1y_2ee)$.

• Diagram $D_{1|2}(2, 1, 2)$:

Consider $(\tilde{E}\tilde{\tau})_{|212}^{1|2} \in \operatorname{End}(\tilde{E}_{21} \otimes \tilde{E}_{12}^2)$. Let $(\theta, \varphi) \in \tilde{E}_{21}$ and $y_1y_2y_3eee \in \tilde{E}_{12}^2$. Then check that

$$E'(y_1y_2y_3eee) \circ (\theta,\varphi) = (\theta y_1y_2y_3eee, 0, 0, 0, \varphi \otimes y_1y_2y_3eee) \in E_{22}^3$$

Traversing the diagram in either direction gives

 $\left(\tau E(\theta y_1 y_2 y_3 eee), 0, 0, 0, E \tau E \circ \left(\varphi \otimes y_1 y_2 y_3 eee\right)\right).$

• Diagram $D_{1|2}(2,2,2)$:

Consider $(\tilde{E}\tilde{\tau})^{1|2}_{|222} \in \operatorname{End}(\tilde{E}_{22} \otimes \tilde{E}^2_{22})$. Let $(e_1, e_2, \xi) \in \tilde{E}_{22}$ and $(ee_1, ee_2, ee_3, \chi) \in \tilde{E}^2_{22}$. Then check that

 $E'((ee_1, ee_2, ee_3, \chi)) \circ (e_1, e_2, \xi) = (\chi(e_1), e_2 \otimes ee_1, e_2 \otimes ee_2, e_2 \otimes ee_3, E\chi \circ \xi) \in \tilde{E}^3_{22}.$ Traversing the diagram in either direction gives

$$(\tau E(\chi(e_1)), e_2 \otimes ee', e_2 \otimes ee', E\tau(e_2 \otimes ee_3), E\tau E \circ E\chi \circ \xi).$$

Lemma 4.22. The diagrams $D_{2|1}(i, j, k)$ commute.

Proof. We consider the diagrams in turn:

• Diagram $D_{2|1}(1, 1, 1)$:

Consider $(\tilde{\tau}\tilde{E})^{2|1}_{|111} \in \text{End}(\tilde{E}^2_{11} \otimes \tilde{E}_{11})$. Let $y_1y_2ee \in \tilde{E}^2_{11}$ and $y_1e \in \tilde{E}_{11}$. Then check that

$$E'^{2}(y_{1}e) \circ y_{1}y_{2}ee = y_{1}y_{2}y_{3}ee \otimes e \in E^{3}_{11}.$$

Traversing the diagram in either direction gives

$$y_1y_2y_3(\tau(ee)\otimes e)$$

• Diagram $D_{2|1}(1,2,1)$:

Consider $(\tilde{\tau}\tilde{E})^{2|1}_{|121} \in \operatorname{End}(\tilde{E}^2_{12} \otimes \tilde{E}_{21})$. Let $y_1y_2y_3eee \in \tilde{E}^2_{12}$ and $(\theta, \varphi) \in \tilde{E}_{21}$. Then check that

$$E^{\prime 2}((\theta,\varphi)) \circ y_1 y_2 y_3 eee = E^2 \varphi(y_1 y_2 y_3 eee) \in \tilde{E}^3_{11}.$$

Traversing the diagram in either direction gives

$$(\tau E \circ E^2 \varphi)(y_1 y_2 y_3 eee).$$

• Diagram $D_{2|1}(2, 1, 1)$:

Consider $(\tilde{\tau}\tilde{E})_{|211}^{2|1} \in \operatorname{End}(\tilde{E}_{21}^2 \otimes \tilde{E}_{11})$. Let $(e_1, e_2, \xi) \in \tilde{E}_{21}^2$ and $y_1 e \in \tilde{E}_{11}$. Then check that

$$E'^{2}(y_{1}e) \circ (e_{1}, e_{2}, \xi) = (e_{1} \otimes y_{1}e, e_{2} \otimes y_{1}e, 0, \xi \otimes y_{1}e) \in E^{3}_{21}$$

Traversing the diagram in either direction gives

$$(e' \otimes y_1 e, e' \otimes y_1 e, 0, (\tau \circ \xi) \otimes y_1 e).$$

• Diagram $D_{2|1}(2,2,1)$:

Consider $(\tilde{\tau}\tilde{E})_{|221}^{2|1} \in \operatorname{End}(\tilde{E}_{22}^2 \otimes \tilde{E}_{21})$. Let $(ee_1, ee_2, ee_3, \chi) \in \tilde{E}_{22}^2$ and $(\theta, \varphi) \in \tilde{E}_{21}$. Then check that

$$E^{\prime 2}((\theta,\varphi)) \circ (ee_1, ee_2, ee_3, \chi) = (E\varphi(ee_1), E\varphi(ee_2), \theta ee_3, E^2\varphi \circ \chi) \in \tilde{E}^3_{21}.$$

Traversing the diagram in either direction gives

$$(E\varphi(ee'), E\varphi(ee'), \theta\tau(ee_3), E^2\varphi \circ \tau E \circ \chi).$$

• Diagram $D_{2|1}(1, 1, 2)$:

Consider $(\tilde{\tau}\tilde{E})_{|112}^{2|1} \in \operatorname{End}(\tilde{E}_{11}^2 \otimes \tilde{E}_{12})$. Let $y_1y_2ee \in \tilde{E}_{11}^2$ and $y_1y_2\bar{e}\bar{e} \in \tilde{E}_{12}$. Then check that

$$E'^{2}(y_{1}y_{2}\bar{e}\bar{e}) \circ y_{1}y_{2}ee = (y_{1}y_{2}ee) \otimes (y_{1}y_{2}\bar{e}\bar{e}) = y_{1}\dots y_{4}(ee \otimes \bar{e}\bar{e}) \in \tilde{E}^{3}_{12}.$$

Traversing the diagram in either direction gives

$$y_1 \dots y_4(\tau(ee) \otimes \bar{e}\bar{e}).$$

• Diagram $D_{2|1}(1,2,2)$:

Consider $(\tilde{\tau}\tilde{E})_{|122}^{2|1} \in \operatorname{End}(\tilde{E}_{12}^2 \otimes \tilde{E}_{22})$. Let $y_1y_2y_3eee \in \tilde{E}_{12}^2$ and $(e_1, e_2, \xi) \in \tilde{E}_{22}$. Then check that

$$E'^{2}((e_{1}, e_{2}, \xi)) \circ y_{1}y_{2}y_{3}eee = E^{2}\xi(y_{1}y_{2}y_{3}eee) \in \tilde{E}^{3}_{12}.$$

Traversing the diagram in either direction gives

 $(\tau E^2 \circ E^2 \xi)(y_1 y_2 y_3 eee).$

• Diagram $D_{2|1}(2, 1, 2)$:

Consider $(\tilde{\tau}\tilde{E})_{|212}^{2|1} \in \operatorname{End}(\tilde{E}_{21}^2 \otimes \tilde{E}_{12})$. Let $(e_1, e_2, \xi) \in \tilde{E}_{21}^2$ and $y_1y_2ee \in \tilde{E}_{12}$. Then check that

 $E'^2(y_1y_2ee) \circ (e_1, e_2, \xi) = (e_1 \otimes y_1y_2ee, e_2 \otimes y_1y_2ee, 0, 0, \xi \otimes y_1y_2ee) \in \tilde{E}^3_{22}.$ Traversing the diagram in either direction gives

$$(e' \otimes y_1 y_2 ee, e' \otimes y_1 y_2 ee, 0, 0, (\tau \circ \xi) \otimes y_1 y_2 ee).$$

• Diagram $D_{2|1}(2,2,2)$:

Consider $(\tilde{\tau}\tilde{E})^{2|1}_{|222} \in \text{End}(\tilde{E}^2_{22} \otimes \tilde{E}_{22})$. Let $(ee_1, ee_2, ee_3, \chi) \in \tilde{E}^2_{22}$ and $(e_1, e_2, \xi) \in \tilde{E}_{22}$. Then check that

$$E^{\prime 2}((e_1, e_2, \xi)) \circ (ee_1, ee_2, ee_3, \chi) = (E\xi(ee_1), E\xi(ee_2), ee_3 \otimes e_1, ee_3 \otimes e_2, E^2\xi \circ \chi) \in \tilde{E}_{22}^3$$

Traversing the diagram in either direction gives

$$(E\xi(ee'), E\xi(ee'), \tau(ee_3) \otimes e_1, \tau(ee_3) \otimes e_2, \tau E^2 \circ E^2 \xi \circ \chi).$$

The proposition that $\tilde{E}\tilde{\tau}$ and $\tilde{\tau}\tilde{E}$ correspond to $\tilde{\tau}_1$ and $\tilde{\tau}_2$ is now proved. \Box

4.3. Definition of $\mathcal{L}(1) \otimes \mathcal{V}$.

Definition 4.23. Let \mathcal{V} be a 2-representation of \mathcal{U}^+ given by the data (E, x, τ) for a k-algebra A such that ${}_{A}E$ is finitely generated and projective and E^n is free as a P_n -module. We define $\mathcal{L}(1) \otimes \mathcal{V}$ to be the 2-representation of \mathcal{U}^+ given for the k-algebra C by the data $(\tilde{E}, \tilde{x}, \tilde{\tau})$.

Proposition 4.24. If E is locally nilpotent, then \tilde{E} is locally nilpotent.

Proof. Note that in our setting of bimodules, local nilpotence of $E \otimes_A -$ is equivalent to nilpotence of E, meaning that $E^n \cong 0$ for some n. This is because local nilpotence implies $E^n \otimes_A A \cong 0$ for some n, but that is just E^n as a bimodule.

Recall the expression for \tilde{E}^n as a matrix of (A[y], A[y])-bimodules:

$$\begin{pmatrix} y_1 \dots y_n E^n[y] & y_1 \dots y_{n+1} E^{n+1}[y] \\ G_n & G_{n+1} \end{pmatrix} \xrightarrow{\sim} \begin{pmatrix} \tilde{E}_{11}^n & \tilde{E}_{12}^n \\ \tilde{E}_{21}^n & \tilde{E}_{22}^n \end{pmatrix}$$

The method we used to compute a model for G_n for n = 1, 2, 3 also shows that G_n for any n can be described as a sub-bimodule of $E^{n-1}[y]^{\oplus n} \oplus \operatorname{Hom}_A(_AE, E^n)[y]$, given by the elements satisfying a certain set of conditions. It follows that G_n

vanishes for large n if E^n does. Also $y_1 \dots y_n E^n[y]$ vanishes for large n because E^n does. It follows that \tilde{E} is nilpotent. \Box

4.3.1. Weights and gradings for $\mathcal{L}(1) \otimes \mathcal{V}$. It frequently happens that a 2-representation has additional structure, and we may ask whether our 2-product inherits that structure. A 2-representation of \mathcal{U}^+ may have a weight decomposition, or its algebra may have a grading.

Definition 4.25. A 2-representation \mathcal{V} of \mathcal{U}^+ given for k-algebra A by the data (E, x, τ) is said to have a weight decomposition when A has the form $A = \prod_{i \in \mathbb{Z}} A_i$ with units $e_i \in A_i$, and $e_j E e_i = \delta_{i+2,j} \cdot e_{i+2} E e_i$.

Proposition 4.26 (weight decomposition). Let A and (E, x, τ) satisfy the conditions of Def. 4.23, and let \mathcal{V} be the 2-representation they determine. Suppose that \mathcal{V} has a weight decomposition with units $e_i \in A_i$. Let C and $(\tilde{E}, \tilde{x}, \tilde{\tau})$ give the data of $\mathcal{L}(1) \otimes \mathcal{V}$. Then C has a weight decomposition $C = \prod_{i \in \mathbb{Z}} C_i$ with $C_i = f_i C f_i$ where the units $f_i \in C_i \subset C$ are given in matrix form as follows:

$$f_i = \begin{pmatrix} e_{i+1} & 0\\ 0 & (e_{i-1}, -e_{i-1}) \end{pmatrix}.$$

Proof. The elements f_i are clearly idempotent and orthogonal, and they sum to the identity. We have for the matrix components of $f_i \tilde{E} f_i$:

$$[f_{j}Ef_{i}]_{11} = e_{j+1}.y_{1}E[y].e_{i+1}$$

$$[f_{j}\tilde{E}f_{i}]_{12} = e_{j+1}.y_{1}y_{2}E^{2}[y].e_{i-1}$$

$$[f_{j}\tilde{E}f_{i}]_{21} = G_{1} \bigcap \left(e_{j-1}A[y]e_{i+1} \oplus e_{j-1}.\text{Hom}_{A}(_{A}E, E).e_{i+1}[y]\right)$$

$$[f_{j}\tilde{E}f_{i}]_{22} = G_{2} \bigcap \left(e_{j-1}.E[y].e_{i-1} \oplus e_{j-1}.E[y].e_{i-1} \oplus e_{j-1}.\text{Hom}_{A}(_{A}E, E^{2}).e_{i-1}[y]\right)$$
These are all zero unless $j = i+2.$

Definition 4.27 (graded case). A 2-representation \mathcal{V} of \mathcal{U}^+ given for k-algebra A by the data (E, x, τ) is said to be a \mathbb{Z} -graded 2-representation when A is a \mathbb{Z} -graded k-algebra, E is a graded bimodule, and x and τ are graded endomorphisms with deg x = +2 and deg $\tau = -2$.

Proposition 4.28. Let A and (E, x, τ) satisfy the conditions of Def. 4.23, and let \mathcal{V} be the 2-representation they determine. Suppose that \mathcal{V} is a \mathbb{Z} -graded 2representation. Let C and $(\tilde{E}, \tilde{x}, \tilde{\tau})$ give the data of $\mathcal{L}(1) \otimes \mathcal{V}$. Then $\mathcal{L}(1) \otimes \mathcal{V}$ is a \mathbb{Z} -graded 2-representation. The gradings on generators in C and \tilde{E} are inherited from the gradings on A and E with the assumption that deg y = +2.

Proof. It is trivial to check that C is graded and \tilde{E} is a graded bimodule. The formulas for \tilde{x} and $\tilde{\tau}$ in Def. 4.4 show that they have the right degrees. \Box

5. Comparison:
$$\mathcal{V} = \mathcal{L}(1)$$

In §5.1 we describe a well-known 2-representation of \mathcal{U}^+ categorifying $L(1) \otimes L(1)$ using Soergel bimodules. In §5.2 we describe our product explicitly for $\mathcal{V} = \mathcal{L}(1)$, and in §5.3 we show that the result is equivalent to the known

one. The reader is warned that notations in this section will diverge from the previous sections.

Let $P_2 = k[y_1, y_2]$. Let S_2 denote the symmetric group on 2 letters, generated by t_1 , and acting on P_2 by permutation of the y_i . Let $P_2^{S_2}$ be the subalgebra generated by invariant homogeneous polynomials.

5.1. A categorification of $L(1) \otimes L(1)$.

Definition 5.1. We define:

- a (P_2, P_2) -bimodule $B_{s_1} = P_2 \bigotimes_{P_2^{S_2}} P_2$
 - and observe that B_{s_1} is also a P_2 -algebra with structure map $P_2 \to B_{s_1}$ given by $f \mapsto 1 \otimes f$
 - and that P_2 is a left B_{s_1} -module by $(f \otimes g).\theta = fg\theta$
- a P_2 -algebra $T = T_{+2} \oplus T_0 \oplus T_{-2}$ by

$$T_{+2} = P_2, \ T_0 = \operatorname{End}_{B_{s_1}}(P_2 \oplus B_{s_1})^{\operatorname{op}}, \ T_{-2} = P_2$$

• a (T, T)-bimodule $\mathscr{E} =_{+2} \mathscr{E}_0 \oplus_0 \mathscr{E}_{-2}$ by

$${}_{0}\mathscr{E}_{-2} = \begin{pmatrix} P_{2} \\ B_{s_{1}} \end{pmatrix} \cong T_{0}e_{2}$$
$${}_{+2}\mathscr{E}_{0} = \begin{pmatrix} P_{2} & B_{s_{1}} \end{pmatrix} \cong e_{2}T_{0}$$

for e_2 the projection onto B_{s_1}

- and observe the canonical isomorphism

$${}_{+2}\mathscr{E}_{-2}^2 = e_2 T_0 \otimes_{T_0} T_0 e_2 \xrightarrow{\sim} B_{s_1}$$

• a bimodule endomorphism $x \in \text{End}(\mathscr{E})$ by

$$_{+2}x_0 = \begin{pmatrix} y_2 & y_2 \otimes 1 \end{pmatrix}, \quad _0x_{-2} = \begin{pmatrix} y_1 \\ y_1 \otimes 1 \end{pmatrix}$$

(acting by multiplication)

• a bimodule endomorphism $\tau \in \operatorname{End}(\mathscr{E}^2)$ by

$$_{+2}\tau_{-2}: f \otimes g \mapsto \partial_{t_1}(f) \otimes g$$

where $\partial_{t_1} \in \operatorname{End}_k(P_2)$ is a Demazure operator:

$$\partial_{s_1}: f \mapsto \frac{f - f^{t_1}}{y_1 - y_2}.$$

The next theorem is well-known. Cf., for example, Lauda [Lau09], Webster [Web16, §2.3], Stroppel [Str03, §5.1.1], Sartori-Stroppel [SS15]:

Theorem 5.2. The k-algebra T and triple (\mathscr{E}, x, τ) defined above gives a 2-representation of \mathcal{U}^+ , called \mathscr{T} below, that categorifies the tensor product $L(1) \otimes L(1)$ of fundamental representations of \mathfrak{sl}_2 .

5.2. $\mathcal{L}(1) \otimes \mathcal{L}(1)$. We notate both factors as in §2.2.3 except that on the right factor we use y_1 in place of y, and on the left factor we use y_2 in place of y. We write E_i , x_i , τ_i , i = 1, 2 for the 2-representation data on the right (i = 1) and on the left (i = 2).

In the formulas we have given for the product, the algebra A, now A_1 , becomes $k[y_1]_{+1} \times k[y_1]_{-1}$ (in its weight decomposition), E becomes $k[y_1]$, xbecomes y_1 , and y becomes y_2 . Let $\omega = y_1 - y_2 \in P_2$. So ω will take over the role of $y_1 = x - y$ that was written in previous sections. Write $\pi : P_2 \to P_2/(\omega)$ for the projection.

We let $B, X, E', C, E, \tilde{x}$, and $\tilde{\tau}$ be defined as above. The algebra B and complex X have nonzero elements only in weights -2, 0, +2. These are given as follows:

$$B_{-2} = \begin{pmatrix} P_2 & 0 \\ 0 & 0 \end{pmatrix}, \qquad X_{1-2} = \begin{pmatrix} P_2 \\ 0 \end{pmatrix}, \qquad X_{2-2} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}, B_0 = \begin{pmatrix} P_2 & k[y] \\ 0 & P_2 \end{pmatrix}, \qquad X_{1_0} = \begin{pmatrix} P_2 \\ 0 \end{pmatrix}, \qquad X_{2_0} = \begin{pmatrix} P_2 \xrightarrow{\pi} P_2/(\omega) \\ 0 \to P_2 \end{pmatrix} \\ B_{+2} = \begin{pmatrix} 0 & 0 \\ 0 & P_2 \end{pmatrix}, \qquad X_{1+2} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \qquad X_{2+2} = \begin{pmatrix} 0 \\ 0 \to P_2 \end{pmatrix}.$$

Here the action of $P_2/(\omega)$ from the upper right of B_0 on X_{2_0} is $P_2/(\omega) \otimes_{P_2} P_2 \rightarrow P_2/(\omega)$ given by $f \otimes 1 \mapsto f$. The complexes for X start in degree 0 on the left. The matrix coefficients are in each case from the -1 weight space of A_2 in the upper left corner.

To compute E we will also need $E'X_2$, which is:

$${}_{0}E'_{-2}(X_{2_{-2}}) = \begin{pmatrix} 0\\ 0 \end{pmatrix},$$

$${}_{+2}E'_{0}(X_{2_{0}}) = \begin{pmatrix} 0\\ 0 \to P_{2} \oplus P_{2} \xrightarrow{(-\pi,\pi)} P_{2}/(\omega) \end{pmatrix}.$$

Next we compute C:

$$\begin{bmatrix} C_{+2} \end{bmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & P_2 \end{pmatrix}, \ \begin{bmatrix} C_0 \end{bmatrix} = \begin{pmatrix} P_2 & \omega P_2 \\ P_2 & Q_1^{\mathsf{op}} \end{pmatrix}, \ \begin{bmatrix} C_{-2} \end{bmatrix} = \begin{pmatrix} P_2 & 0 \\ 0 & 0 \end{pmatrix}.$$

Here $Q_1^{\mathsf{op}} \subset P_2 \oplus P_2$ is the (commutative) algebra of all (θ, φ) such that $\varphi - \theta \in \omega P_2$, with componentwise multiplication. It is a P_2 -algebra by $P_2 \ni f \mapsto (f, f) \in Q_1$. The algebra structure of C_0 (cf. §2.4) may be described as follows. The upper right term, ωP_2 , is a left P_2 -module by multiplication. It is a right Q_1^{op} -module with (θ, φ) acting by multiplication by φ . The lower left P_2 is a left Q_1^{op} -module with the same action. It has a right P_2 action by multiplication. The remaining structure maps are:

(5.1)
$$\omega P_2 \otimes_{P_2} P_2 \to P_2$$
 by $\omega \theta' \otimes \theta \mapsto \omega \theta \theta'$

and

(5.2)
$$P_2 \otimes_{P_2} \omega P_2 \to Q_1^{\mathsf{op}}$$
$$\text{by } \theta \otimes \omega \theta' \mapsto (0, \omega \theta \theta').$$

Now compute \tilde{E} and the endomorphisms \tilde{x} by components:

$${}_{0}[\tilde{E}]_{-2} = \begin{pmatrix} \omega P_{2} & 0 \\ Q_{1} & 0 \end{pmatrix}, \qquad {}_{0}[\tilde{x}]_{-2} = \begin{pmatrix} y_{1} & 0 \\ (y_{2}, y_{1}) & 0 \end{pmatrix}, \\ {}_{+2}[\tilde{E}]_{0} = \begin{pmatrix} 0 & 0 \\ P_{2} & Q_{2} \end{pmatrix}, \qquad {}_{+2}[\tilde{x}]_{0} = \begin{pmatrix} 0 & 0 \\ y_{2} & (y_{2}, y_{1}) \end{pmatrix},$$

where $Q_2 \subset P_2 \oplus P_2$ is the (P_2, Q_1^{op}) -bimodule containing all (e_1, e_2) such that $e_1 - e_2 \in \omega P_2$; Q_1^{op} acts on Q_2 on the right by $(e_1, e_2).(\theta, \varphi) = (e_1\varphi, e_2\theta)$ (note the swap), and P_2 on the left by diagonal multiplication.

In the next section it will be useful to view ${}_{0}E_{-2}$ as $C_{0}q_{2}$ using the idempotent $q_{2} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \in [C_{0}]$, and to view ${}_{+2}\tilde{E}_{0}$ as $q_{2}C_{0}$ using the isomorphism of $(P_{2}, Q_{1}^{\mathsf{op}})$ -bimodules $\sigma : Q_{1} \xrightarrow{\sim} Q_{2}$ by $(\theta, \varphi) \mapsto (\varphi, \theta)$. Viewing them in this way, we may write ${}_{0}\tilde{x}_{-2}$ as multiplication on $C_{0}q_{2}$ on the left by $\begin{pmatrix} y_{1} & 0 \\ 0 & (y_{2}, y_{1}) \end{pmatrix} \in C_{0}$, and ${}_{+2}\tilde{x}_{0}$ as multiplication on $q_{2}C_{0}$ on the right by $\begin{pmatrix} y_{2} & 0 \\ 0 & (y_{1}, y_{2}) \end{pmatrix} \in C_{0}$ (note the swap).

Finally, compute \tilde{E}^2 and $\tilde{\tau}$ by components:

$${}_{+2}[\tilde{E}^2]_{-2} = \begin{pmatrix} 0 & 0 \\ Q_2 & 0 \end{pmatrix}, \qquad {}_{+2}[\tilde{\tau}]_{-2} = \begin{pmatrix} 0 & 0 \\ t_{21} & 0 \end{pmatrix},$$

where

$$t_{21}: (e_1, e_2) \mapsto (\omega^{-1}(e_1 - e_2), \omega^{-1}(e_1 - e_2)).$$

5.3. Comparison.

Theorem 5.3. There is an equivalence $\mathcal{L}(1) \otimes \mathcal{L}(1) \xrightarrow{\sim} \mathscr{T}$ of 2-representations.

We will use a few intermediate steps.

Define a new algebra R:

$$R = P_2[e] / (e^2 - \omega e).$$

There is a map of P_2 -algebras $R \xrightarrow{\gamma} B_{s_1}$ given by $e \mapsto 1 \otimes y_1 - y_1 \otimes 1$. There is another map of P_2 -algebras $R \xrightarrow{\gamma'} Q_1^{\mathsf{op}}$ given by $P_2 \ni f \mapsto (f, f) \in Q_1^{\mathsf{op}}$ and $e \mapsto (\omega, 0)$.

Lemma 5.4. The maps γ and γ' are isomorphisms of P_2 -algebras.

Proof. Straightforward.

We will also use the composition $\sigma \circ \gamma'$ to obtain an isomorphism of (P_2, P_2) bimodules $R \xrightarrow{\sim} Q_2$ given by $f \mapsto (f, f)$ and $e \mapsto (0, \omega)$.

Now we translate \mathscr{T} using γ . The action of B_{s_1} on P_2 induces an action of R on P_2 through γ , according to which $P_2 \hookrightarrow R$ acts on P_2 by multiplication, and e acts by zero. We have an isomorphism of R-modules $P_2 \xrightarrow{\sim} R/(e)$ using this action on P_2 . In the remainder of this section we assume this isomorphism and write R in place of B_{s_1} everywhere in the 2-representation \mathscr{T} . Under this

translation, and using the decomposition $R \xrightarrow{\sim} P_2 \oplus P_2 e$ as P_2 -modules, we have:

$$_{+2}x_0 = \begin{pmatrix} y_2 & y_2 + e \end{pmatrix}, \quad _0x_{-2} = \begin{pmatrix} y_1 \\ y_1 - e \end{pmatrix},$$

and

$$_{+2}\tau_{-2} = (p_1 + p_2 e \mapsto -p_2).$$

Lemma 5.5. The matrix presentation of T_0 is given by:

$$\begin{pmatrix} P_2 & P_2 \\ P_2 & R \end{pmatrix} \xrightarrow{\sim} T_0,$$

where:

- for $[T_0]_{11}$ the map sends $\theta \in P_2$ to $(1 \mapsto \theta) \in \operatorname{End}_R(P_2)^{\operatorname{op}}$
- for $[T_0]_{21}$ the map sends $\theta \in P_2$ to $(1 \mapsto \theta) \in \operatorname{Hom}_R(R, P_2)$
- for $[T_0]_{12}$ the map sends $\theta \in P_2$ to $(1 \mapsto \theta \omega \theta e) \in \operatorname{Hom}_R(P_2, R)$
- for $[T_0]_{22}$ the map sends $r \in R$ to $(1 \mapsto r) \in \operatorname{End}_R(R, R)^{\operatorname{op}}$.

The algebra structure maps (cf. $\S2.4$) are given as follows:

- $[T_0]_{11} \circlearrowright [T_0]_{12} by \theta.\theta' = \theta\theta'$
- $[T_0]_{21} \bigcirc [T_0]_{11}$ by $\theta' \cdot \theta = \theta' \theta$
- $[T_0]_{12} \circlearrowleft [T_0]_{22}$ by $\theta.(p_1 + p_2 e) = \theta p_1$
- $[T_0]_{22} \circlearrowright [T_0]_{21} by (p_1 + p_2 e).\theta = p_1 \theta$
- $[T_0]_{12} \otimes [T_0]_{21} \rightarrow [T_0]_{11} \ by \ \theta \otimes \theta' \mapsto \omega \theta \theta'$
- $[T_0]_{21} \otimes [T_0]_{12} \rightarrow [T_0]_{22}$ by $\theta' \otimes \theta \mapsto \omega \theta' \theta \theta' \theta e$.

Proof. Let us explain the map to $[T_0]_{12}$. Recall that $P_2 \cong R/(e)$. An element of $\operatorname{Hom}_R(R/(e), R)$ is given by the image $r = p_1 + p_2 e$ of 1, which may be anything satisfying e.r = 0, and that condition is equivalent to $p_1 = -p_2\omega$. The other morphisms and the structure maps are easily computed.

Lemma 5.6. Let $\Phi_0 : T_0 \to C_0$ be given on components by:

$$\begin{pmatrix} Id_{P_2} & \omega \\ Id_{P_2} & \gamma' \end{pmatrix}.$$

Then Φ_0 is an isomorphism of P_2 -algebras.

Proof. The specified maps give algebra isomorphisms on the diagonal components, and k-module isomorphisms on the off-diagonal components. Now we check equivariance under the bimodule structure maps. The only nonobvious cases concern maps involving the lower right component.

An element of Q_1^{op} may be written uniquely as a sum $(\omega\theta, 0) + (\varphi, \varphi)$. This is sent by γ'^{-1} to $\varphi + \theta e \in R$. So the action of (θ, φ) by multiplication by φ agrees with the action of $p_1 + p_2 e$ by multiplication by p_1 . The structure map $[T_0]_{12} \otimes [T_0]_{21} \rightarrow [T_0]_{11}$ clearly agrees with Eq. 5.1 through Φ_0 . The map $[T_0]_{21} \otimes [T_0]_{12} \rightarrow [T_0]_{22}$ agrees with Eq. 5.2 through Φ_0 because $\gamma' :$ $\omega\theta'\theta - \theta'\theta e \mapsto (0, \omega\theta\theta')$.

Proof of Theorem 5.3. Extend Φ_0 to an algebra isomorphism $\Phi : T \xrightarrow{\sim} C$ by $\Phi_{+2} = \operatorname{Id}_{P_2}$ and $\Phi_{-2} = \operatorname{Id}_{P_2}$. It remains to check compatibility with the actions of E, x, and τ in \mathcal{U}^+ , and this poses no difficulty. We summarize that now.

We have in \mathscr{T} that ${}_{0}\mathscr{E}_{-2} \xrightarrow{\sim} T_{0}r_{2}$ for $r_{2} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \in [T_{0}]$, and similarly ${}_{0}\tilde{E}_{-2} = C_{0}q_{2}$ in $\mathscr{L}(1) \otimes \mathscr{L}(1)$; and we have $q_{2} = \Phi_{0}(r_{2})$. The action of ${}_{0}x_{-2}$ on ${}_{0}\mathscr{E}_{-2}$ in \mathscr{T} can be written in $T_{0}r_{2}$ as multiplication on the left by $\begin{pmatrix} y_{1} & 0 \\ 0 & y_{1}-e \end{pmatrix} \in [T_{0}]$. In $\mathscr{L}(1) \otimes \mathscr{L}(1)$ it is written as multiplication on the left by $\begin{pmatrix} y_{1} & 0 \\ 0 & (y_{2},y_{1}) \end{pmatrix}$. These correspond using $\gamma' : R \xrightarrow{\sim} Q_{1}^{\mathsf{op}}$. Similarly for ${}_{+2}x_{0}$ since $\gamma' : R \ni y_{2} + e \mapsto (y_{1}, y_{2}) \in Q_{1}^{\mathsf{op}}$. Finally, the action of τ in R by ${}_{+2}\tau_{-2} = (p_{1} + p_{2}e \mapsto -p_{2})$ corresponds to ${}_{+2}\tilde{\tau}_{-2}$, now using $\sigma \circ \gamma' : R \xrightarrow{\sim} Q_{2}$.

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